Automated Export System Trade Interface Requirements

Summary of Changes

July 2015



	l	SUMMARY OF CHANGES
	Type	
	of Chang	
77 /D-4-	Chang	Tourston and Demokration
Version /Date	e	Location and Description
Revised	C	Appendix A
August 3, 2015		Changed response code 368 narrative and response code 401 is now currently
		unassigned because both response codes were very similar.
Revised	C	Updated Appendix F
May 21, 2015		
		3B001 - CIV C37- Adding
Effective Date		3D001 - CIV C37 - Adding
May 21, 2015		3E001 - CIV C37- Adding
		4D001 - TSR/STA C38/C59 - Adding
		4E001 - TSR/STA C38/C59 - Adding
		8E002 - TSR C38- Removed
D		T. 1. 1. 1. T. T.
Revised M20-2015	C	Updated Appendix F
May 20, 2015		Added CH as an allowable EIC code for License code C30.
		TT 7 4 7 4 7 77
		Updated Appendix V
	C	The HTS codes starting with zeroes (0) were missing the leading zeroes.
Revised	C	Updated Appendix F
February 13, 2015		4.11.1 ECCN (7.4004) 16 1 1. C50 1 11 (CD)
T200 41 1 4		Added new ECCN (7A994) allowed for License code C58 and adding 'SD'
Effective date		Sudan as an acceptable country code for CCD.
February 18, 2015		
Revised	C	Updated Appendix A
February 6, 2015		Opuated Appendix A
rebruary 0, 2013		Changed response code 399 narrative and from Informational to Verify
		message
DtI		Updated Appendix V – HTS numbers that cannot be reported in AES.
Revised	A	Updated Appendix V – H18 numbers that cannot be reported in AES.
January 16, 2015		
		The state of the s
Revised	A	Appendix F – added new ECCNs allowed for License Code C62
January 15, 2015		
T.00		
Effective Date		
January 16, 2015		
		A 33 . 3.
Revised	A	Added:
January 9, 2015		Appendix D
		Port Code 3319 – Morgan, MT
Revised	C	Appendix A - Changed contact information for the following response code for
November 14, 2014		Bureau of Industry and Security (BIS): 544, 545, 546, 547, 548, 549, 666 and
		667.
Revised Date	A	Appendix F – Added new ECCNs allowed for License Code C35 & C60.
November 7, 2014		
<u> </u>		

SUMMARY OF CHANGES			
	Type		
	of Chang		
Version /Date	e	Location and Description	
Revised Date	C	Changed:	
September 24, 2014		Appendix F – for license C49 add MOT 10	
Revised Date		Added New Voluntary Disclosure Messages	
September 16, 2014	A	Response Code: 673 COMMERCE DEPARTMENT LICENSE NOT OBTAINED	
Effective Date		Response Code: 674 STATE DEPARTMENT LICENSE NOT OBTAINED	
January 2015		Response Code: 675 OTHER AGENCY LICENSE NOT OBTAINED	
		Response Code: 676 PRIOR DATE OF EXPORT REQUIRED	
		APPENDIX F – License and License Exemption Type Codes	
		and Reporting Guidelines	
		VDC – COMMERCE DEPARTMENT VOLUNTARY SELF DISCLOSURE VDS – STATE DEPARTMENT VOLUNTARY DISCLOSURE	
		VDO – OTHER PARTNER GOVERNMENT AGENCY VOLUNTARY DISCLOSURE	
Revised Date	C	CHANGED:	
September 16, 2014		2000 Comes WA Added (Fined) or accompable Mathed of Transportation	
		3009 Sumas, WA - Added 'Fixed' as acceptable Method of Transportation	
REVISED DATE	A	ADDED:	
September 23, 2014	A	ADDED.	
•		NEW PGA RECORD	
EFFECTIVE		Participants in the EPA pilot for lead acid battery hazardous waste.	
DATE			
TBD		For Agricultural Marketing Services – reporting export permit numbers related to apples and grapes shipments.	
		related to applies and grapes simplificates.	
		Segment MAN Data element 88, 87:	
		Alternate use is to enter validation data for Participating Government Agency	
		(PGA) related exports. Limit use to 999 instances. Do not include MAN	
		segment when 1) "Shipment Filing Action Request Indicator" in element BA102 is "CANCEL",	
		or when	
		2) "Line Item Filing Action Request Indicator" in element L1314 is "DELETE".	
		MAN01 88 Marks and Numbers Qualifier	
		Code specifying the application or source of Marks and Numbers (87) When used for PGA data entry, always Enter 'ZZ'	
		When used for Fore data entry, arways Effect EL	
		MAN02 87 Marks and Numbers Qualifier	
		Marks and numbers used to identify a shipment or parts of a shipment.	
		When used for PGA data entry, always enter the 3 character PGA ID,	
		left justified. Example: In the case of EPA enter "EP1" and for AMS enter "AM1".	
		CHICL AIVII.	
		MAN03 87 Marks and Numbers Qualifier	
		Marks and numbers used to identify a shipment or parts of a shipment.	
		Based on the PGA ID this data element will contain data related to the	
		PGA as left justified concatenated text.	
		When PGA ID = EP1 fill positions as below: 01 EPA License Required Indicator	
		When EPA License Required = Y fill positions below:	
		02-13 EPA Consent Number	
L	1		

		SUMMARY OF CHANGES
	Type	
	of Chang	
Version /Date	Chang e	Location and Description
		14-25 RCRA Hazardous Waste Manifest
		Tracking Number.
		26-28 EPA Net Quantity Unit of Measurement
		29-38 EPA Net Quantity
		39-48 Not used. Space fill.
		When PGA ID = $AM1$ fill positions as below:
		01-13 AMS Export Certificate Number
		14-48 Not used. Space fill.
		Segment X1 Data element 83:
		X101 83 Equivalent AESTIR Proprietary Elements: CL1 – PGA
		License Required Indicator.
		PGA License Required Indicator: Y (yes) or N (no)
		Added PGA License Required Indicator (Y or N) to CL1 record (position 72)
REVISED DATE	C	APPENDIX D – EXPORT PORT CODES
August 8, 2014		GW (NOPP
EFFECTIVE		CHANGED:
August 8, 2014		3322 Added ROAD as acceptable Method of Transportation
1484000, 201.		2504 Renamed San Ysidro
		2506 Renamed Otay Mesa
Revised	С	Response Code: 590 LICENSE VALUE EXCDS AVAIL FOR LIC
June 16, 2014		Response Code: 642 QUANTITY 1 CANNOT EXCEED 299,999,999
		Response Code: 712 FIXED MOT (70) SHIPMENT REPORTED LATE
REVISED DATE	Α	ADDED:
June 9, 2014	A	Response Code: 06H AEI NOT APPROVED FOR USPPI
		Response Code: 593 LICENSE VALUE GREATER THAN VALUE OF
EFFECTIVE		GOODS
October 3, 2014		
	C	Response Code: 706 LICENSE VALUE CHANGE POST DEPARTURE
		*
REVISED DATE		APPENDIX F – License and License Exemption Type Codes
May 27, 2014		and Reporting Guidelines
EFFECTIVE		CHANGED:
July 1, 2014	C	The following license types have been updated with the addition of certain "600
		series" ECCNs:
		• C30 (BIS license), C31 (BIS Special Comprehensive License), C40 (TMP),
		C41 (RPL), C42 (GOV), and C59 (STA) –All "600 series" ECCNs are
		eligible to the extent permitted under parts 740 and 752 of the EAR.
		• C32 (NLR with a reason for control other than or in addition to AT) – All
		"600 series" ECCNs are eligible if exported to Canada. Some of these "600 series" items were previously authorized under an International Traffic in
		Arms Regulations (ITAR) Canadian exemption (SCA).
L	1	

	I	SUMMARY OF CHANGES
	Type	
	of Chang	
Version /Date	e	Location and Description
V CISION / Dutc		• C35 (LVS) – The following "600 series" ECCNs are eligible: 0A614, 0B604,
		0B614, 1A613, 1B608, 1B613, 1C608, 9A604, and 9B604.
		• C45 (BAG) – The "600 series" ECCN 1A613 is eligible.
		• C60 (DY6) – The following "600 series" ECCNs are eligible: 1A613, 1D613,
		and 1E613.
REVISED DATE		APPENDIX F – License and License Exemption Type Codes
May 27, 2014		and Reporting Guidelines
EFFECTIVE	C	CHANGED:
June 27, 2014		The following license types have been updated with the addition of 9A515,
		9D515, and 9E515 ECCNs:
		• C30 (BIS license), C31 (BIS Special Comprehensive License), C40 (TMP),
		C41 (RPL), C42 (GOV), C45 (BAG), C46 (AVS) and C59 (STA) – 9A515,
		9D515, and 9E515 are eligible to the extent permitted under parts 740 and
		752 of the EAR.
		• C32 (NLR with a reason for control other than or in addition to AT) –
		9A515.d is eligible if exported to Canada; 9A515.e is eligible to those
		destinations permitted by the EAR; 9D515 and 9E515 are eligible if exported
		to Canada.
		• C35 (LVS) – 9A515 is eligible, to the extent permitted under parts 740 and
DEVICED DATE		774 of the EAR. APPENDIX F. License and License Everytion Type Codes
REVISED DATE April 11, 2014		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
April 11, 2014		and Keporting Guidennes
EFFECTIVE		CHANGED:
October 3, 2014	C	Removed "License Value Required" from License codes C31, T10, OPA and N01.
Version 1.0		APPENDIX F – License and License Exemption Type Codes
EFFECTIVE		and Reporting Guidelines
October 3, 2014		
	C	CHANGED:
		Removed "License Value Required" from License codes C31, T10, OPA and N01.
Version 1.0		APPENDIX F – License and License Exemption Type Codes
EFFECTIVE		and Reporting Guidelines
October 3, 2014		
	C	CHANGED:
		Removed License Value Required reference from License Codes ('C35', 'C43'
	1	and 'C59')
Version 1.0		APPENDIX A – Commodity Filing Response Messages
EFFECTIVE		CHANCED.
October 3, 2014	C	CHANGED:
		Response Code: 15A
Version 1.0		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data
EFFECTIVE		Transactions, PROPRIETARY FORMATS, (b) COMMODITY SHIPMENT
October 3, 2014		WARNING REMINDER
		CHANCED.
	C	CHANGED: Foreign Trade Zone Identifier in Input SC2 Record
		Poleigh Trade Zone identifier in hiput SC2 Record
Version 1.0		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data
EFFECTIVE		Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT
October 3, 2014		FILING AND RESPONSE
	C	CHANGED:
		Foreign Trade Zone Identifier in Input SC2 Record

	T	SUMMARY OF CHANGES
	Type	
	of	
	Chang	
Version /Date	e	Location and Description
	A	ADDED: Note 4 for Input SC2 Record
Version 1.0 PILOT IMPLEMENTED AFTER OCTOBER 3, 2014		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT FILING AND RESPONSE ADDED:
	A	Ultimate Consignee Type to table (c) page 1a-59
Version 1.0 PILOT		601 Customs & Border Protection (CBP) - Export Shipment Information
IMPLEMENTED AFTER OCTOBER 2, 2014	A	ADDED: Segment YNQ Data element 1321, 1073, 1250, 1251, 933, 1270, 1271: B3D Advance Fracet Information partial common little phiament filing
OCTOBER 3, 2014		R3P Advance Export Information partial commodity shipment filing R3F Advance Export Information complete commodity shipment filing.
Version 1.0 PILOT		AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options
IMPLEMENTED AFTER OCTOBER 3, 2014	A	ADDED: Advance Export Information (AEI)
		Environmental Protection Agency to AES and Partnership Agencies
		NOTE: A limited number of USPPI's will be approved for participation in the pilot. The acceptable ECCNs for AEI are 5A002, 5B002, 5D002 and 5E002.
		The only items on the CCL that are EAR99 or ECCNs controlled for AT reasons only or for Encryption are eligible for AEI.
Version 1.0 PILOT IMPLEMENTED AFTER OCTOBER 3, 2014		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT FILING AND RESPONSE and (b) COMMODITY SHIPMENT WARNING REMINDER
OCTOBER 3, 2014	A	ADDED: AEI Filing Type to Input SC1 Record
	C	CHANGED:
		Filing Option Indicator with option 3 (AEI)
	A	APPENDIX A – Commodity Filing Response Messages
	A	ADDED: Response Code: 63A USED VEH NOT ALLOWED -OPTION 3 (AEI) Response Code: 06E DDTC RECORD NOT ALLOWED – OPTION 3 (AEI) Response Code: 06F SCHED B/HTS NBR NOT VALID FOR AEI FILING
		OPTION 3

		SUMMARY OF CHANGES
	Type	
	of	
	Chang	
Version /Date	e	Location and Description
		Response Code: 06G 'S' CLASS LIC TYPE CODE NOT ALLOWED -
		OPTION 3 (AEI)
		Response Code: 06A OPTION 3(AEI) FILING CURRENTLY NOT ACTIVE
		Response Code: 06B FILING TYPE REQUIRED WHEN FILING OPT 3
		Response Code: 06C FILING OPTION INDICATOR IS NOT ALLOWED TO
		CHÂNGE VALUE
		Response Code: 06D AEI FULL FILING PREVIOUSLY RECEIVED,
		PARTIAL FILING NOT VALID
		Response Code: 710 AEI FULL SHIPMENT REPORTED LATE; OPT 3F
	C	,
		CHANGED:
		Response Code: 066 FILING OPT IND MUST BE 2, 3 OR 4
		response coue. voo Thenvo of Throb most be 2, 3 or 4
		NOTE.
		NOTE:
Version 1 0		A limited number of USPPI's will be approved for participation in the pilot.
Version 1.0		APPENDIX F – License and License Exemption Type Codes
EFFECTIVE		and Reporting Guidelines
October 3, 2014		1 D D T D
	A	ADDED:
		License Value Required to following License Codes ('C30', 'C31', 'C35',
		'C43', 'C59', 'N01', 'S05', 'S61', 'S73', 'S85', 'S94', 'T10', 'T12', 'OPA')
		ADDED:
		License Code 'EPA'
Version 1.0		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data
EFFECTIVE		Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT
October 3, 2014		FILING AND RESPONSE and (b) COMMODITY SHIPMENT WARNING
		REMINDER
	A	
	Α	ADDED:
		Ultimate Consignee Type to Input N03 Record
		License Value to Input CL1 Record
		CHANGED:
	C	Foreign Trade Zone Identifier in Input SC2 Record
		K) <u>Used Vehicle Reporting</u>
		ALL used self-propelled vehicle detail record (EV1) information
		MUST be reported for all shipments regardless of value and
		destination.
Vancie v 1 O		
Version 1.0		APPENDIX A – Commodity Filing Response Messages
EFFECTIVE		ADDED
October 3, 2014	A	ADDED:
		Response Code: 339 ULT CONS TYPE IS MISSING
		Response Code: 371 ULT CONS TYPE IS UNKNOWN
		Response Code: 15A FTZ MUST BE 3 NUM (VALID ZONE) & 4 ANUM
		Response Code: 15B FTZ CANNOT HAVE TRAILING SPACES
		Response Code: 067 LICENSE VALUE AMOUNT MUST BE REPORTED &
		GT ZERO.
		Response Code: 079 LICENSE VALUE CONTAINS NON-NUMERICS
		Response Code: 255 LICENSE VALUE AMT CANNOT exceed \$999,999,999
		Response Code: 709 VALUE OF GOOD OVER LIMIT VALUE SHPMNT

	TES.	SUMMART OF CHANGES
	Type	
	of Chang	
Variation (D)	Chang	I cookies and Deconing ion
Version /Date	e	Location and Description
		Response Code: 711 PREDEPARTURE FILING REQ FOR RPRTED CMDTY
		Response Code: 399 IS THE CLEARANCE YEAR CORRECT?
		Response Code: 55A EPA CONSENT NUMBER MISSING
		Response Code: 55B EPA CONSENT NUMBER INVALID FORMAT
		Response Code: 55C EPA CONSENT NUMBER NOT FOUND
		Response Code: 55D RCRA HW MANIFEST TRACKING NUMBER
		MISSING
		Response Code: 55E RCRA HW MANIFEST TRCKNG # INVALID
		FORMAT
		Response Code: 55F ULT DEST & EPA CNSNT COUNTRIES NOT SAME
		Response Code: 55G EST DEP DATE NOT IN EPA CONSENT DATE
		RANGE
		Response Code: 55H PGA ID IS REQUIRED
		Response Code: 55I PGA ID IS INVALID
		Response Code: 55J ONLY ONE PGA RECORD ALLOWED PER LINE
		Response Code: 55K VALID EPA NET UOM & QUANTITY REQUIRED
		Response Code: 55L AT LEAST ONE PGA LICENSE REQUIRED
		Response Code. 33L AT LEAST ONE FOA LICENSE REQUIRED
	C	CHANCED.
		CHANGED:
		Response Code: 567 CNTY MUST BE CA FOR CANADIAN ITAR EXMPTN
		Response Code: 637 Revised to clarify that used vehicles must be filed 72 hours
		prior to export.
Version 1.0		APPENDIX E – Commodity Filing Export Information Codes and Reporting
EFFECTIVE		Guidelines
October 3, 2014		
	C	CHANGED:
		EIC codes CR, HH, and TE have been moved from Table B: Applicable EI Codes
		for Optional EEI Reporting to Table A: Applicable EI Codes for Mandatory EEI
		Reporting
Version 1.0		601 Customs & Border Protection (CBP) - Export Shipment Information
EFFECTIVE		()
October 3, 2014	C	CHANGED:
000000000000000000000000000000000000000		
		Segment M12 Data Element 310:
		FOREIGN TRADE ZONE IDENTIFIER
		POREIGN TRADE ZONE IDENTITIEN
		Identity of the Fernian Trade Zone from which the marchandise was with January
		Identity of the Foreign Trade Zone from which the merchandise was withdrawn.
		Maximum size - 7 bytes.
		The first 3 positions must be numeric and represent the general purpose
		zone. The next 2 positions are alphanumeric and represent the sub zone. The
		last 2 positions are alphanumeric and represent the site. Left justify; NO trailing
		spaces. Insert zeros when there is no sub zone or site. Report leading zero(s) if
		the zone number is between 1 thru 99.
		Equivalent AESTIR Proprietary Element: SC2 - Foreign Trade Zone Identifier.
		Compand N1 Date Flowers 00
		Segment N1 Data Element 98:
		ULTIMATE CONSIGNEE
		Decrete
		Report any of the following values as applicable:
1	Ī	

	Type	
	of	
	Chang	
Version /Date	e	Location and Description
		XT Final Customer Organization (Direct Consumer)
		QW Government (Government Entity)
		B2 Other Unlisted Type of Organizational Entity (Other/Unknown)
		DU Resale Dealer (Reseller)
		C ATAR A AAA
		Segment X1 Data element 212: LICENSE VALUE
		Value for a licensable shipment that will not include any overhead like
		insurance, domestic freight or other charges in whole US dollars.
		and a survey desires the region of control changes in whose els desires.
		Equivalent AESTIR Proprietary Element: CL1 – LICENSE VALUE
		Equivalent AESTIR Proprietary Element: SC1 - Filing Option Indicator – First
		two characters and AEI filing Type – Third character
		D) HAZARDOHG MARERIAL INDIGAROD (HAZMARI)
		B) HAZARDOUS MATERIAL INDICATOR (HAZMAT)
		Indicates that YNQ02 is being used to report whether the shipment contains
		hazardous material.
		nazardous material.
		A) FILING OPTION INDICATOR
		When reporting the "Filing Option" in YNQ01, report "N" here in YNQ02.
**		
Version 1.0		Appendix E – Commodity Filing Export Information Codes and Reporting
EFFECTIVE October 3, 2014		Guidelines
October 5, 2014		CHANGED:
1		Revised Type/Condition Description for FIC "HH"
		Revised Type/Condition Description for EIC "HH."
Version 1.0		
Version 1.0 EFFECTIVE		Revised Type/Condition Description for EIC "HH." Appendix O – DDTC ITAR Exemption Codes
	A	Appendix O – DDTC ITAR Exemption Codes ADDED:
EFFECTIVE	A	Appendix O – DDTC ITAR Exemption Codes
EFFECTIVE	A	Appendix O – DDTC ITAR Exemption Codes ADDED:
October 3, 2014 Version 1.0 EFFECTIVE	A	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.)
EFFECTIVE October 3, 2014 Version 1.0		Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options
EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE	A C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED:
October 3, 2014 Version 1.0 EFFECTIVE		Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar
EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE		Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED:
Version 1.0 EFFECTIVE October 3, 2014	C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation.
Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE October 3, 2014 Version 1.0		Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar
Version 1.0 EFFECTIVE October 3, 2014	C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation.
Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE October 3, 2014 Version 1.0	C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation. APPENDIX D – EXPORT PORT CODES
Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE October 3, 2014 Version 1.0	C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation. APPENDIX D – EXPORT PORT CODES
Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 October 22, 2013	C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation. APPENDIX D – EXPORT PORT CODES CHANGED: 2604 Added AIR as acceptable Method of Transportation
Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 October 22, 2013	C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation. APPENDIX D – EXPORT PORT CODES CHANGED:
Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 October 22, 2013 Version 1.0 EFFECTIVE	C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation. APPENDIX D – EXPORT PORT CODES CHANGED: 2604 Added AIR as acceptable Method of Transportation Appendix O – DDTC ITAR Exemption Codes
Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 EFFECTIVE October 3, 2014 Version 1.0 October 22, 2013	C	Appendix O – DDTC ITAR Exemption Codes ADDED: 123.22 (22 CFR 123.22 Exports of technical data and defense sevices.) AES GENERAL INFORMATION - Section 1. The Automated Export System (AES) - Commodity Data Filing Options CHANGED: Postdeparture from 'ten (10) calendar days' to no later than 'five (5) calendar days' from the date of exportation. APPENDIX D – EXPORT PORT CODES CHANGED: 2604 Added AIR as acceptable Method of Transportation

SUMMARY OF CHANGES			
	Type of		
	Chang		
Version /Date	e	Location and Description	
		ITAR exemption code 123.17(A) has been amended to read as follows:	
		123.17A1 - 22 CFR 123.17 (a) (1) Components and parts for Category I (a)	
		firearms not exceeding \$100 wholesale.	
		, , , , , , , , , , , , , , , , , , ,	
		123.17A2 - 22 CFR 123.17 (a) (2) Components and parts for Category I firearms	
		not exceeding \$500 wholesale to Canada.	
		123.17A3 - 22 CFR 123.17 (a) (3) Components and parts for Category I firearms	
		not exceeding \$500 wholesale to Canadian Government.	
Version 1.0	A	APPENDIX L – DDTC USML Category Codes	
EFFECTIVE October 15, 2013		ADDED:	
000001 13, 2013		THE DEED.	
		Category 19 - Gas Turbine Engines and Associated Equipment	
	C	CHANCED	
		CHANGED:	
		Description for Category 08 changed to read - Aircraft and Related Articles	
Version 1.0	C	Table 1. DDTC Licensable Shipment Reporting Requirement Matrix.	
August 22, 2013		Changed as a significant of DDTC Desistration Number for ITAD Examples from D	
		Changed requirement of DDTC Registration Number for ITAR Exemption from R (Required) to A (Allowed).	
Version 1.0	С	Appendix S - AES Acronyms and Definitions	
EFFECTIVE			
July 9, 2013		Effective July 9, 2013 The website for AESDirect and AESWebLink will change	
		to aesdirect.census.gov	
Version 1.0 June 26, 2013	A	Appendix F - License and License Exemption Type Codes and Reporting Guidelines	
3 une 20, 2013		and Reporting Guidelines	
		ADDED:	
		The following ECCN was made eligible for C38:	
		2D003	
		The following ECCNs were made eligible for C59:	
		2D003 7D004	
Version 1.0	D	Appendix F - License and License Exemption Type Codes	
June 20, 2013		and Reporting Guidelines	
		C25 July ECCN 24002	
Version 1.0	С	C35 - delete ECCN 3A992	
EFFECTIVE		Appendix D – Export Port Codes	
May 8, 2013		CHANGED:	
		The following Port of Export Code name has been updated:	
		2904 – Columbia-Snake, OR	
		NEW name:	
Version 1.0	-	2904 – Portland, OR Appendix F - License and License Exemption Type Codes	
EFFECTIVE		and Reporting Guidelines	
October 15, 2013			
	A	ADDED:	

SUMMARY OF CHANGES			
	Type		
	Of		
Version /Date	Chang e	Location and Description	
version/Date		New License Code C60	
		Tien Brense ovac ov	
		New ECCNs to License Code C59, C35	
		Added "For items under 600 series ECCNs with a .y paragraph, use C60 (DY6)" to the Description of License Code C32 , C33	
		Added "Items under 600 series ECCNs are not eligible under this license type" to the Description of License Code C39, C43, C45, C46, C49, C51, C57	
Version 1.0		Appendix A – Commodity Response Filing Messages	
EFFECTIVE September 26, 2008	C	CHANGED:	
September 20, 2008		Response Code: 075 SRN ON FILE; ACTION NOT ALLOWED	
		Revised "Resolution" to clarify that reuse of the shipment reference number is	
		prohibited.	
Version 1.0		Appendix D – Export Port Codes	
EFFECTIVE			
March 15, 2013	D	DEACTIVATED:	
		The following Port of Export Code have been deactivated: 4755 – JFK Alternate	
		4735 – JFK Alternate 4770 - FEDERAL EXPRESS, NEWARK, NJ	
		4775 - DWORKIN/COSELL COURIER, NY	
		4776 - SWISSAIR (SKYRACER), NY	
		4777 - ALITALIA (ALIEXPRESS), NY	
Version 1.0		Appendix D – Export Port Codes	
EFFECTIVE			
January 1, 2013	D	DEACTIVATED:	
		The following Port of Export Code have been deactivated:	
		3312 – Whitetail, MT	
Version 1.0 EFFECTIVE		Appendix A – Commodity Response Filing Messages	
January 1, 2013	D		
January 1, 2013	D	DELETE:	
Vargion 1.0		Response Code 6VD	
Version 1.0 EFFECTIVE		Appendix D – Export Port Codes	
December 12, 2012		DEACTIVATED:	
	D	The following Port of Export Code have been deactivated:	
		3382 – National County International Airport	
		222 Millian County International Empore	
	_	CHANGED:	
	C	The following Port of Export Code name has been changed to:	
		3386 – Bozeman Yellowstone User Fee Airport	
		-	
	A	ADDED:	
	1	The following Port of Export Code has been added:	
		3332 – Casper, WY	
Varsian 1.0		Annual dia D. Famout Dout College	
Version 1.0 EFFECTIVE		Appendix D – Export Port Codes	
December 3, 2012	D	DEACTIVATED.	
2000111001 3, 2012		DEACTIVATED: The following Port of Expert Code have been descriveded:	
		The following Port of Export Code have been deactivated: 1402 – Newport News, VA	
		1702 - Newpolitiews, VA	
	C	CHANGED:	
L	1	<u> </u>	

	SUMMARY OF CHANGES		
	Type		
	of		
T7 1 / / / / / / / / / / / / / / / / / /	Chang	T (1 1D 1 (1	
Version /Date	e	Location and Description	
		The following Port of Export Code name has been changed to:	
		1401 – Norfolk-Newport News, VA	
Version 1.0		Appendix A – Commodity Response Filing Messages	
EFFECTIVE			
July 1, 2012	A	ADDED:	
		Response Code 6VD "VIN REPORTED ON ITN: %"	
Version 1.0		Appendix O – DDTC ITAR Exemption Codes	
EFFECTIVE			
November 29, 2012	C	CHANGED:	
		The following ITAR exemption codes were changed to include the following	
		"U.S. persons, as defined in the ITAR 120.14."	
		1,	
		123.17F -Temporary export of one set of "Body Armor" for personal use without a	
		license from the United States by U.S. persons as defined in the ITAR 120.14.	
		123.17G -Temporary export of one set of "Body Armor" for personal use without	
		a license by U.S. persons as defined in the ITAR 120.14 going to Afghanistan and	
		to Iraq.	
Version 1.0		Appendix D – Export Port Codes	
EFFECTIVE			
October 23, 2012	C	CHANGED:	
		The following Port of Export Codes have been updated to allow for Air as the	
		Mode of Transportation.	
		1	
		2101 – Port Arthur, TX	
		2102 – Sabine, TX	
		2103 – Orange, TX	
		2104 – Beaumont, TX	
Version 1.0		Appendix O – DDTC ITAR Exemption Codes	
EFFECTIVE		-	
June 8, 2012	A	ADDED:	
		123.17 (H) Temporary exports of body armor, which may include a	
		helmet, or chemical agent protective gear, which may include one	
		additional filter canister, for personal use to Iraq.	
		and the complete, for personal about may.	
		123.17(I) Temporary exports of body armor, which may include a helmet,	
		or chemical agent protective gear, which may include one additional filter	
		canister, for personal use to Afghanistan.	
Version 1.0			
EFFECTIVE		Appendix A – Commodity Response Filing Messages	
June 6, 2012		ADDED	
Juile 0, 2012	A	ADDED:	
	A	Response Code 57A "NO RGSTRTN REQD; ITAR USED: <ddtc itar<="" td=""></ddtc>	
17		EXEMPTION NUMBER>	
Version 1.0		AES Operational Certification Test Scripts: Long Test Scripts	
EFFECTIVE			
May 1, 2012	C	Changes have been made to the following Test Questions:	
		Questions 3, 7, 8, 15, 17, 21, 24	
Version 1.0		AES Operational Certification Test Scripts: Short Test Scripts	
EFFECTIVE		-	
L	1	ı	

	Tyme	SUMMART OF CHANGES
	Type	
	of	
	Chang	
Version /Date	e	Location and Description
May 1, 2012	C	Changes have been made to the following Test Questions:
		Questions 7, 10, 14-18
Version 1.0		Appendix A – Commodity Response Filing Messages
EFFECTIVE		Appendix A – Commounty Response rining Wessages
April 11, 2012	A	ADDED:
		Response Code 549 "BIS LICENSE NBR NOT VALID FOR LICENSE CODE"
		•
Version 1.0		Appendix A – Commodity Response Filing Messages
EFFECTIVE		Appendix A – Commodity Response Fining Wessages
March 30, 2012	A	ADDED:
		Response Code 672 "GB/AU ACID NBR UNKNOWN OR INVALID"
		•
		CHANGED:
	C	Response Code 667 to include new license exemption codes.
		Response Code 563 to include new license exemption codes.
		Response Code 564 to include new license exemption codes.
Va 1.0		
Version 1.0		APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
EFFECTIVE		
April 11, 2012	C	CHANGE:
		C31 – Removed list of "S" licenses because they are no longer valid. "V" licenses
		have replaced the "S" licenses.
Version 1.0		APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
March 5, 2012		
17141011 5, 2012	C	CHANGE:
	C	C32 - Remove "EAR99 may be reported as an ECCN."
		C32 – Added "EAR99 may NOT be reported as an ECCN."
Version 1.0		Table 1. DDTC Licensable Shipment Reporting Requirement Matrix.
EFFECTIVE		
March 30, 2012	A	ADDED:
		DOS/DDTC License Type SAU – Australia ITAR Exemptions License Code
		DOS/DDTC License Type SGB – United Kingdom ITAR Exemptions
Version 1.0		601 Customs & Border Protection (CBP) - Export Shipment Information
EFFECTIVE		(X12) Transaction Set
March 30, 2012	C	(1112) Trumbuction See
141011 30, 2012		CHANGED:
		X1 Export License Segment
		X102 (50 Export License Number) to include:
		3. DDTC ITAR EXEMPTION NUMBER and APPROVED COMMUNITY
		MEMBER NUMBER
		The DDTC ITAR Exemption Number will be followed by the ACM#.
		See #2 above for DDTC ITAR Exemption Number. The first 12 characters
		of the field is reserved for the DDTC ITAR Exemption. Add spaces at the
		end of the DDTC ITAR Exemption, if necessary, to fill first 12 characters.
		The next 11 characters of the field is reserved for the ACM#. The ACM#
		for the United Kingdom must begin with UK followed by 9 numbers. The
		ACM# for Australia must begin with DTT followed by 8 numbers.
		3. DDTC ITAR Exemption Number and Approved Community Member # -
		Enter "3" in X103 – Number Qualifier
		Maximum size of X102 is 23 bytes.
		Equivalent AESTIR Proprietary Elements: CL2 - Export License Number/
		Equitation (100 11) Trophomy Diemono, CD2 - Dapoit Diconse Number/

		SUMMARY OF CHANGES	-
	Type of		
-	Chang		1
Version /Date	e	Location and Description CFR Citation/Authorization Symbol/KPC#/ACM#; ODT - DDTC ITAR	1
		Exemption Number.	
	'	X103 (51 Export License Status Code) to include:	1
	<u> </u>	3 DDTC ITAR Exemption Number and Approved Community Member #]
Version 1.0 EFFECTIVE March 30, 2012		PART II – AES RECORD FORMATS, SECTION 1 – AES Commodity Data Transactions, PROPRIETARY FORMATS, (a) COMMODITY SHIPMENT FILING AND RESPONSE	
	C	CHANGED: Line Item Commodity Detail (Input CL2 Record) Data Element to: Export License Number/ CFR Citation/ Authorization Symbol/KCP#/ACM#	
		Additional description to read: The Approved Community Member # for the United Kingdom must begin with UK followed by nine numbers. The Approved Community Member # for Australia must begin with DTT followed by eight numbers.	
Version 1.0		APPENDIX O – DDTC ITAR EXEMPTION CODES	1
EFFECTIVE March 30, 2012	A	ADDED: 126.16E1 - United States and Australian combined military or counter-terrorism op 126.16E2 - United States and Australian cooperative security and defense research, production, and support programs 126.16E3 - Mutually determined specific security and defense projects where the G is the end-user 126.16E4 - U.S. Government end-use 126.17E1 - United States and United Kingdom combined military or counter-terror 126.17E2 - United States and United Kingdom cooperative security and defense resproduction, and support programs 126.17E3 - Mutually determined specific security and defense projects where the G United Kingdom is the end-user 126.17E4 - U.S. Government end-use	development, lovernment of Australia ism operations search, development,
Version 1.0 EFFECTIVE March 30, 2012		APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES	
	A	ADDED: License Code SAU – Australia ITAR Exemptions License Code SGB – United Kingdom ITAR Exemptions	
Version 1.0 February 3, 2012		APPENDIX V – HTS Numbers that Cannot Be Reported in AES	
	A	ADDED: 0409.00.0005 0713.39.2170 0713.39.4170 3702.91.0100 3702.93.0000 3702.94.0000 3702.95.0000	
	D	DELETED: 0713.39.2070	

	Trons	SUMMARY OF CHANGES
	Type of	
	Chang	
Version /Date	e	Location and Description
version/Date		0713.39.4070
		2916.36.0000
		2710.30.0000
Version 1.0		APPENDIX D – EXPORT PORT CODES
January 19, 2012		THE CORE CORE
, , , , , , , , , , , , , , , , , , , ,	D	DEACTIVATED:
		3991 Nippon Courier HUB, IL
Version 1.0	A	APPENDIX F - LICENSE AND LICENSE EXEMPTION TYPE CODES
January 6, 2012		
		ADDED:
		The following ECCNs were made eligible for STA C59:
		3A982
		3D982
		3E982
Version 1.0	A	APPENDIX O – DDTC ITAR EXEMPTION CODES
November 9, 2011		
		ADDED:
		123.17F - 22 CFR 123.17 (f) Temporary export of one set of "Body Armor" for
		personal use without a license from the United States by an individual (U.S.
		person). Companies cannot use this exemption.
		102 17C 22 OFD 122 17 () Towns and a form of SHD 1 Asset II for
		123.17G - 22 CFR 123.17 (g) Temporary export of one set of "Body Armor" for
		personal use without a license going to Afghanistan and to Iraq. Companies cannot
Version 1.0	С	use this exemption. APPENDIX A – COMMODITY RESPONSE FILING MESSAGES
November 8, 2011	C	AFFENDIA A – COMMODITT RESPONSE FILING MESSAGES
1,0000111001 6, 2011		CHANGED:
		Response Code 545 to include the word "INVALID"
Version 1.0	Α	APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
October 25, 2011	11	THE CODES
0000001 20, 2011		ADDED:
		Special Comprehensive License (SCL) S000037 for License Code C31
Version 1.0		APPENDIX D – Export Port Codes
September 23, 2011		r
	A	ADDED:
		4503 St Louis, MO (Valid for AIR)
Version 1.0		APPENDIX C – ISO Country Codes
August 17, 2011		
	A	ADDED:
		SS South Sudan
Version 1.0		APPENDIX E – Commodity Filing Export Information Codes and Reporting
July 1, 2011		Guidelines
		AND ATTER
	U	UPDATED:
		Mada undata ta Tabla A EIC "7D" description
		Made update to Table A EIC "ZD" description.
Version 1.0		ADDENDIY V HTC Numbers that Connet De Descrited in AEC
July 1, 2011		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
July 1, 2011		
	A	ADDED:
	A.	1701.99.1025
		1701.99.1050
		1701.99.5025
	l	1101.27.3020

	Trons	SUMMARY OF CHANGES
	Type of	
	Chang	
Version /Date	e	Location and Description
V CISION / Dutc		1701.99.5050
	D	DELETED:
		1701.99.1020
		1701.99.1030
		1701.99.5020
T7 : 10		1701.99.5030
Version 1.0 June 16, 2011		APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
June 10, 2011	A	ADDED:
	A	ADDED.
		C59 – Strategic Trade Authorization (STA) allows an exception to export specific
		controlled items to certain countries that would otherwise require a license
		because of CCL-based license requirements.
Version 1.0		APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
June 14, 2011		
	A	ADDED:
		Emert License Number (S00002C) to License Code (C21)
		Export License Number 'S000036' to License Code 'C31'
	U	UPDATED:
		Made update to Table F (Department of Energy/National Nuclear Security
		Administration License Type Code "E01") description.
Version 1.0		APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
June 5, 2011		
	A	ADDED:
		Table E. Deportment of Energy/National Nuclear Security Administration
		Table F - Department of Energy/National Nuclear Security Administration License Type Code "E01."
Version 1.0		APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
June 3, 2011		THE POINT ENGLISHED BEETING BREETING TOWN THE CODES
	D	Deleted:
		C59 – Strategic Trade Authorization (STA) allows an exception to export specific
		controlled items to certain countries that would otherwise require a license
Vani - 10	-	because of CCL-based license requirements.
Version 1.0 April 25, 2011		APPENDIX F – LICENSE AND LICENSE EXEMPTION TYPE CODES
April 23, 2011	A	ADDED:
	, A	10000
		C59 – Strategic Trade Authorization (STA) allows an exception to export specific
		controlled items to certain countries that would otherwise require a license
		because of CCL-based license requirements.
Version 1.0		APPENDIX D – EXPORT PORT CODES
May 12, 2011		MOT 1
	C	MOT change:
		1703 Added RAIL as acceptable Method of Transportation 1703 Added ROAD as acceptable Method of Transportation
		1501 Added AIR as acceptable Method of Transportation
		2015 Deleted VESSEL as acceptable Method of Transportation
		2104 Added AIR as acceptable Method of Transportation
		2302 Deleted RAIL as acceptable Method of Transportation
		2305 Deleted RAIL as acceptable Method of Transportation
		2307 Deleted RAIL as acceptable Method of Transportation

	_	SUMMARY OF CHANGES
	Type	
	of	
T7 . /D .	Chang	T (1 17 1 (1
Version /Date	e	Location and Description
		2309 Deleted RAIL as acceptable Method of Transportation
		2310 Deleted RAIL as acceptable Method of Transportation
		2408 Deleted RAIL as acceptable Method of Transportation
		2601 Deleted RAIL as acceptable Method of Transportation
		2602 Deleted RAIL as acceptable Method of Transportation
		2603 Deleted RAIL as acceptable Method of Transportation
		2604 Deleted AIR as acceptable Method of Transportation
		2606 Deleted RAIL as acceptable Method of Transportation
		2608 Deleted RAIL as acceptable Method of Transportation
		3002 Added AIR as acceptable Method of Transportation
		3003 Added AIR as acceptable Method of Transportation
		3007 Added AIR as acceptable Method of Transportation
		3008 Added AIR as acceptable Method of Transportation
		3011 Deleted RAIL as acceptable Method of Transportation
		3012 Deleted RAIL as acceptable Method of Transportation
		3013 Deleted RAIL as acceptable Method of Transportation
		3016 Added AIR as acceptable Method of Transportation 3019 Added AIR as acceptable Method of Transportation
		3019 Deleted RAIL as acceptable Method of Transportation
		3020 Deleted RAIL as acceptable Method of Transportation
		3025 Deleted RAIL as acceptable Method of Transportation
		3103 Added AIR as acceptable Method of Transportation
		3104 Added AIR as acceptable Method of Transportation
		3104 Deleted RAIL as acceptable Method of Transportation
		3105 Added AIR as acceptable Method of Transportation
		3106 Added AIR as acceptable Method of Transportation
		3106 Deleted RAIL as acceptable Method of Transportation
		3115 Added AIR as acceptable Method of Transportation
		3301 Deleted RAIL as acceptable Method of Transportation
		3303 Added RAIL as acceptable Method of Transportation
		3306 Deleted RAIL as acceptable Method of Transportation
		3308 Deleted RAIL as acceptable Method of Transportation
		3309 Deleted RAIL as acceptable Method of Transportation
		3312 Deleted RAIL as acceptable Method of Transportation
		3317 Deleted RAIL as acceptable Method of Transportation
		3318 Deleted RAIL as acceptable Method of Transportation
		3323 Deleted RAIL as acceptable Method of Transportation
		3423 Deleted VESSEL as acceptable Method of Transportation
		3424 Deleted VESSEL as acceptable Method of Transportation
		3424 Deleted RAIL as acceptable Method of Transportation
		3425 Deleted RAIL as acceptable Method of Transportation
		3426 Deleted RAIL as acceptable Method of Transportation
		3512 Added RAIL as acceptable Method of Transportation
		3604 Added AIR as acceptable Method of Transportation
		3613 Deleted RAIL as acceptable Method of Transportation
Vorigon 1.0		ADDENDIV C. ISO County Codes
Verison 1.0 April 7, 2011		APPENDIX C – ISO Country Codes
April 7, 2011	D	DELETED:
	ע	AN Netherlands Antilles
		AN INCUICITATIUS ATIUTICS
	A	ADDED:
	A	CW Curacao
		SX Sint Maarten
		WAY SAME PLANTED AND A SAME PLAN
	1	

	Т-	SUMMARY OF CHANGES
	Type	
	of	
TT 1 /5 /	Chang	
Version /Date	e	Location and Description
Version 1.0	C	Change requested by Cheryl Bannister.
April 19, 2011		CI C
		Changes pertain to replacing "SED" with "EEI" throughout AES Website. See
		Artifacts (email with requested changes and files) in Dimensions under WR108
		dated 4/15/11.
Version 1.0		APPENDIX D – Export Port Codes
April 11, 2011		CHANCED
	C	CHANGED:
		4773 Emery Worldwide to MICOM
		ADDED
	A	ADDED:
77 1 1 0		"FIXED" as a valid MOT for 3802
Version 1.0	A	APPENDIX A – Commodity Filing Response Messages
March 3, 2011		D C. 1. CC1 DDTCLIGENGE GLIGDENDED FOR COLD INDIV
X7 ' 1 O		Response Code 5C1 – DDTC LICENSE SUSPENDED FOR COUNTRY
Version 1.0		APPENDIX C – ISO Country Codes
February 1, 2011		DELETED.
	D	DELETED:
		TP East Timor
		ADDED
	A	ADDED:
Manaian 10 Innone		TL Timor-Leste
Version 1.0 January		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
25, 2011		A
		Appendix V updated (2011 updates)
		ADDED:
	A	0701.90.5045
		0701.90.5055
		0701.90.5065
		0702.00.2010
		0702.00.2010
		0702.00.2033
		0702.00.2045
		0702.00.2063
		0702.00.2099
		0702.00.4010
		0702.00.4033
		0702.00.4046
		0702.00.4003
		0702.00.4098
		0702.00.6035
		0702.00.6045
		0702.00.6065
		0702.00.6099
		0703.10.2000
		0703.10.2000
		0704.10.2000
		0704.10.4000
		0704.10.0000
		0704.90.4020
		0705.11.4000
		0705.19.2000
		0705.19.4000
		0706.10.0500
	<u> </u>	0700.10.0300

SUMMARY OF CHANGES				
	Type			
	of			
Vancian /Data	Chang	I cookies and December.		
Version /Date	e	Location and Description 0706.10.1000		
		0706.10.1000		
		0709.40.2000		
		0709.40.4000		
		0709.40.6000		
		0709.60.2010		
		0709.60.2090		
		0709.60.4055		
		0709.60.4095		
		0709.70.0000		
		0805.10.0040		
		0805.50.2000		
		0806.10.2000		
		0806.10.4000		
		0806.10.6000		
		0809.20.0000		
		0810.10.2000 0810.10.4000		
		1006.30.9015		
		2103.20.4020		
		2103.20.4040		
		7401000000		
	D	DELETED:		
		7404.10.0000		
Version 1.0	A	APPENDIX D – Export Port Code		
December 14, 2010		The following Evenent Dont Codes were added to the Dreduction and Education		
		The following Export Port Codes were added to the Production and Education Regions of the AES Mainframe:		
		Regions of the ALS Manimaine.		
		5296 DHL Worldwide Express, Miami, FL		
		1880 Naples Municipal User Fee Airport		
	D	The following Export Codes were deactivated in the Production and Education		
		Regions of the AES Mainframe:		
		1506 Reidsville, NC		
		3124 Pelican, AK		
		3127 Kodiak, AK		
		3181 St. Paul, AK 3319 Morgan, MT		
		3429 Crane Lake, MN		
		5271 DHL, MIAMI, FL		
		, ,		
Version 1.0	C	APPENDIX E – Commodity Filing Export Information Codes and Reporting		
September 17, 2010		Guidelines		
		The fellowing 15 CFD references have been been been been been been been be		
		The following 15 CFR references have been updated:		
		GS – added 15 CFR 30.40, deleted 15 CFR 30.53		
		MS - added 15 CFR 30.39, deleted 15 CFR 30.52		
		HH - added 15 CFR 30.37(b), deleted 15 CFR 30.56		
		HV - added 15 CFR 30.37(b), deleted 15 CFR 30.56(a3)		
		UG - added 15 CFR 30.37(h), deleted 15 CFR 30.55(g)		

	Trimo	SUMMARY OF CHANGES		
	Type of			
	Chang			
Version /Date	e	Location and Description		
VCISION/Date		LC/LV - added 15 CFR 30.37(a), deleted 15 CFR 30.55(h)		
		DP - added 15 CFR 30.37(i), deleted 15 CFR 30.55(ii)		
		HR - added 15 CFR 30.37(j), deleted 15 CFR 30.55(b)		
		IC - added 15 CFR 30.37(k), deleted 15 CFR 30.55(I)		
		SC - added 15 CFR 30.26(b), deleted 15 CFR 30.33(a)		
		SR - added 15 CFR 30.37(m), deleted 15 CFR 30.56(c)		
		SS - added 15 CFR 30.37(m), deleted 15 CFR 30.56		
Version 1.0	A	APPENDIX D – Export Port Code		
September 17, 2010				
		The following Export Port Codes were added to the Education Region of the AES		
		Mainframe:		
		2792		
		3883		
		1889		
		2383		
		1681		
		The following Export Port Codes were deactivated in the Education and Foreign		
		Port Region of the AES Mainframe:		
		3481		
		3614		
		4181		
		4503		
Version 1.0		APPENDIX D – Export Port Code		
September 10, 2010		•		
,	D	Deactivated:		
		2816 Sacramento, CA		
		2831 Suisun Bay, CA		
	\mathbf{A}	Added Vessel and Road MOT:		
		2835 Sacramento Int'l Airport, CA		
Version 1.0		APPENDIX U – HTS/Schedule B Classifications Requiring Used Vehicle		
August 17, 2010		Reporting		
	\mathbf{C}	Cars and Heavy Lifting		
		Other Motor &		
		Vehicles Construction		
		8703.10.1000 8426.41.0005		
		8703.10.5030		
		8703.10.5060		
Version 1.0		APPENDIX D – Export Port Code		
August 2, 2010				
	A	Added:		
		3971 TNT Express Consignment, Chicago, IL		
		Removed Air MOT:		
		2704 Los Angeles, CA		
		2709 Long Beach, CA		
Version 1.0		APPENDIX A – Commodity Filing Response Messages		
July 14, 2010		111 211 Commonly 1 ming response fixesbuges		
1 , 2010	A	Response Code 856 – IMPROBABLE ISO		
Version 1.0	A	APPENDIX U – HTS/Schedule B Classifications Requiring Used Vehicle		
EFFECTIVE	А	Reporting		
June 1, 2010		Added:		
June 1, 2010		Audeu.		

	Type			GES		
	of					
	Chang					
Version /Date	e	Location and Do	escription			
		Cars and		Heavy Lifting	Heavy Lifting	A
		Other Motor	Tuestava	&	&	Agricultural
		Vehicles 8703.23.0020	Tractors 8701.20.0015	Construction 8426.41.0050	Construction 8429.52.1040	Machinery 8433.11.001
		8703.23.0020	8701.20.0015	8427.10.4000	8429.52.1050	8433.11.002
		8703.23.0024	8701.30.0015	8427.10.8010	8429.52.5010	8433.11.003
		8703.23.0024	8701.30.0030	8427.10.8060	8429.52.5090	8433.11.004
		8703.23.0028	8701.30.0045	8427.10.8090	8429.59.1030	8433.19.001
		8703.23.0032	8701.30.0060	8427.20.4000	8429.59.1060	8433.19.002
		8703.23.0034	8701.30.0075	8427.20.8020	8429.59.1090	8433.19.003
		8703.23.0036	8701.30.1015	8427.20.8040	8429.59.5020	8433.20.006
		8703.23.0038	8701.30.1030	8427.90.0020	8429.59.5040	8433.30.000
		8703.23.0042	8701.30.1045	8427.90.0040	8429.59.5060	8433.40.000
		8703.23.0044	8701.30.1060	8429.11.0010	8429.59.5080	8433.51.001
		8703.23.0046	8701.30.1075	8429.11.0090	8430.10.0000	8433.52.000
		8703.23.0048	8701.30.1090	8429.19.0010	8430.20.0030	8433.53.000
		8703.23.0052	8701.30.5015	8429.19.0090	8430.20.0060	8433.59.001
		8703.23.0060	8701.30.5030	8429.20.0000	8430.20.0090	8433.59.009
		8703.23.0062	8701.30.5045	8429.30.0020	8430.31.0000	
		8703.23.0064	8701.30.5060	8429.30.0040	8430.31.0040	
		8703.23.0066	8701.30.5075	8429.30.0060	8430.31.0080	
		8703.23.0068	8701.90.1005	8429.40.0020	8430.41.0000	
		8703.23.0072	8701.90.1010	8429.40.0040	8430.50.0000	
		8703.23.0074	8701.90.1015	8429.40.0060	8430.50.1000	
		8703.23.0075	8701.90.1030	8429.51.1005	8430.50.5000	
		8703.23.0076	8701.90.1035	8429.51.1015		
		8703.23.0078	8701.90.1040	8429.51.1025		
		8703.24.0032	8701.90.1045	8429.51.1030		
		8703.24.0034	8701.90.1050	8429.51.1035		
		8703.24.0036	8701.90.1055	8429.51.1040		
		8703.24.0038	8701.90.1060	8429.51.1045		
		8703.24.0042	8701.90.1065	8429.51.1050		
		8703.24.0050	8701.90.1070	8429.51.1055		
		8703.24.0052		8429.51.1060		
		8703.24.0054		8429.51.1065		
		8703.24.0056		8429.51.5010		
		8703.24.0058		8429.51.5020		
		8703.24.0060		8429.51.5030		
		8703.24.0062		8429.51.5040		
		8703.24.0064		8429.51.5050		
		8703.24.0066		8429.51.5060		
		8703.24.0068		8429.52.1010		
		8703.24.0075		8429.52.1020		
		8703.32.0010		8429.52.1030		
		8703.33.0045				
Version 1.0		APPENDIX V -	- HTS Numbers	that Cannot Be R	eported in AES	
January 5, 2010	A	Added:				
	A	9303.30.8005				

	TF.	SUMMARY OF CHANGES
	Type	
	of	
	Chang	
Version /Date	e	Location and Description
Version 1.0		APPENDIX D – Export Port Code
		ATTENDIA D - Export 1 of t code
January 4, 2010	ъ	
	D	Deactivated:
		4181 Airborne Air Park
		4194 DHL Express
		3481 Hector International Airport
		2785 March Inland Airport, Riverside, CA
		2881 Sacramento International User Fee Airport
		2001 Sucramento International Osci Tee Amport
		A 11 1
	A	Added:
		3883 Capital Region International Airport, MI
Version 1.0	C	Changed LOI to update phone numbers and address to read:
December 28, 2009		
		Phone: 571-468-5500
		FAX: 571-468-5538
		1700. 071.100.0000
		Address
		Address:
		U.S. Customs and Border Protection
		Attn: Beauregard Client Reps
		7681 Boston Blvd
		Springfield, VA 20598
Version 1.0	С	APPENDIX F - License and License Exemption Type Codes and Reporting
December 15, 2009		Guidelines
December 13, 2007		Guidenies
		ECON share and C25 and 10000 and C20 delete 40002
		ECCN changes: C35 – add 1D008 and C38 - delete 4D003
	C	AESTIR Part IV – AES Downtime Guidelines
		The AES Downtime Guidelines have been updated.
Version 1.0	C	APPENDIX F - License and License Exemption Type Codes and Reporting
December 2, 2009		Guidelines
		ECCN Information updated to include missing ECCN numbers (C36 – 3C005 and
		3C006; C37 – 4A003, 3C005, 3C006)
		3C000, C37 - 4A003, 3C003, 3C000)
V . 1.0		AEGMIN DA IN AEG DA A GALLE
Version 1.0	C	AESTIR Part IV – AES Downtime Guidelines
October 19, 2009		
		The AES Downtime Guidelines have been updated.
Version 1.0	A	APPENDIX F - License and License Exemption Type Codes and Reporting
September 2, 2009		Guidelines
(EFFECTIVE		
September 4, 2009)		Added License Code C58 - Free Exchange of Information allows export and re-
September 4, 2007)		export of consumer products related to communications and exchange of
17 ' 10	~	information to Cuba
Version 1.0	C	APPENDIX F - License and License Exemption Type Codes and Reporting
September 2, 2009		Guidelines
(EFFECTIVE		
October 1, 2009)		Information has been updated to reflect BIS new edits on ECCNs, Special
		Comprehensive Licenses, and Certain License Exceptions
Version 1.0	A	APPENDIX D – Export Port Code
July 23, 2009	11	Input I of Cour
July 23, 2009		Port reactivated:
		1 OIL ICACHVAICU.

	Т	SUMMARY OF CHANGES
	Type	
	of Change	
Varaion /Data	Chang	Logotian and Decomination
Version /Date	e	Location and Description 4197 DHL Cincinnati, OH
		4197 DHL Cincinnati, OH
		APPENDIX V – HTS Numbers that Cannot Be Reported in AES
		Appendix V updated
		ADDED
	A	ADDED: 1701 00 1020
		1701.99.1020
		1701.99.1030 1701.99.5020
		1701.99.5030
		8411.91.9081
		8411.91.9085
		0111717000
	D	DELETED:
		1701.99.1090
		1701.99.5090
		8411.91.9080
		9303.30.8005
** 10		
Version 1.0	D	APPENDIX F - License and License Exemption Type Codes and Reporting
May 1, 2009		Guidelines
(effective date)		C47 Additional Permissive Re-exports (APR) Part 740.16. (Removed)
		C47 Additional Fermissive Re-exports (AFR) Fait 740.16. (Removed) C48 Key Management Infrastructure (KMI) Part 740.8 (Removed)
		C+6 Rey Wanagement Infrastructure (KWII) 1 art 7+0.6 (Removed)
Version 1.0	С	APPENDIX D – Export Port Code
April 2, 2009		r
		MOT change:
		4503 Deleted VESSEL as acceptable Method of Transportation
Version 1.0	C	APPENDIX F - License and License Exemption Type Codes and Reporting
March 19, 2009		Guidelines
		IW has been added as an Export Information Code that is not allowed under
Version 1.0	С	License code OPA. APPENDIX F – License and License Exemption Type Codes and Reporting
February 24, 2009		Guidelines
1 cordary 24, 2009		Guidelines
		Information has been revised pertaining to shipments of International Waters as
		stated in Revised FTR Letter No. 3 published January 14, 2009.
Version 1.0	С	APPENDIX F – License and License Exemption Type Codes and Reporting
December 10, 2008		Guidelines
X7 ' 10		Allowed Export Info Code 'IW' added to License Codes C30 and C31.
Version 1.0	A	APPENDIX B – Transportation Filing Response Messages
November 6, 2008		ADDED:
		Response Code 694 ACTUAL DEPRT YR MUST BE > 1997
		Response code 07 (Referred DEFR) IR MOST BE 7 1771
	C	Letter of Intent links
		References to Option 4 have been removed from the following links:
		http://cbp.gov/xp/cgov/trade/automated/aes/letter_intent/register.xml
		http://cbp.gov/xp/cgov/trade/automated/aes/letter_intent/form_commodity_data.x
	<u> </u>	<u>ml</u>

	l m	SUMMARY OF CHANGES		
	Type of			
	Chang			
Version /Date	e	Location and Description		
(0151011 / 2 000		Doewlon was 2 stoription		
Version 1.0	A	APPENDIX D – Export Port Code		
October 22, 2008				
		ADDED:		
		Valley International Airport, TXDHL-HUB Riverside, CA		
		2772 DHE HOD Riverside, CA		
	C	MOT change:		
		2006 Deleted VESSEL as acceptable Method of Transportation		
		4503 Deleted VESSEL as acceptable Method of Transportation		
		ADDENDIN N. HIRON I ALAG AD D. A. I. AEG		
		APPENDIX V – HTS Numbers that Cannot Be Reported in AES		
		Appendix V updated		
	A	ADDED:		
		0713.39.2070 0713.39.4070		
		2008.19.9090		
		2401.10.9510		
		2401.10.9560		
		2401.20.0500		
		2401.20.1800		
		2907.19.6100		
		2916.36.0000 2921.19.1100		
		2934.30.5000		
		7211.23.3000		
		7211.23.4500		
		7211.23.6030		
		7211.23.6060		
		7211.23.6075		
		7211.23.6085 8527.99.1500		
		0321.77.1300		
	D	DELETED:		
		1005.90.4040		
		8527.99.2010		
		8527.99.2020		
		8527.99.2030 8803.10.0015		
		8803.10.0015 8803.10.0030		
		8803.10.0060		
		8803.20.0015		
		8803.20.0030		
		8803.20.0060		
		8803.30.0015		
		8803.30.0030 8803.30.0060		
		8803.90.9015		
		8803.90.9030		
		8803.90.9060		
Version 1.0	С	AESTIR		
September 30, 2008				

Type	SUMMARY OF CHANGES
Chang	
e	Location and Description
C	The AESTIR has been updated to reflect the amended Foreign Trade Regulations (FTR) requiring the mandatory filing of export information through the Automated Export System (AES) or AES <i>Direct</i> . This includes the following changes: Part II – AES Record Formats, Section 3, AES Transportation Data
	Transactions, Proprietary Record Formats
C	On page 27, revisions to the Marks and Numbers (D02) Record have been made. This record is mandatory. Along with Marks and Numbers this record is also used to report ITNs and or exemptions/exclusions.
	X12 Formats
	On Page 25 of 309 Customs and Border Protection (CBP) – Manifest (Post Departure), N10 (Quantity and Description Record), N1003 Data Element is revised to allow for the entry of Internal Transaction Numbers (ITNs)/Exemptions/Exclusions along with Marks and Numbers. This data element is made mandatory.
C	On Page 35 of 355 Customs and Border Protection (CBP) – Acceptance/Rejection, N10 (Quantity and Description Record), N1003 Data Element is revised to allow for the entry of ITNs/Exemptions/Exclusions along with Marks and Numbers. This data element is made mandatory.
	APPENDIX R – Exemption Legends
C	Revisions have been made to update the Acceptable AES Filing citation, exemption, and exclusion legends as reflected in Appendix D of the amended FTR.
	Miscellaneous Revisions
	Shippers Export Declaration (SED) is now referred to as Electronic Export Information (EEI);
	Option 2 is now referred to as Predeparture;
	Option 4 is now referred to as Postdeparture;
	Foreign Trade Statistics Regulations (FTSR) is now Foreign Trade Regulations (FTR); and
	Citation numbers have been updated to be in concordance with the new FTR.
C	Appendix S and AES General Information
	Information has been revised pertaining to the reuse of shipment reference numbers. The shipment reference number must remain unique, as stated in FTR Letter No. 2 published on September 26, 2008.
С	APPENDIX R – Exemption Legends
	c C C

SUMMARY OF CHANGES

	SUMMARY OF CHANGES	•
Type of Chang		
e	Location and Description	
	Revisions were made to the exemption legends to reflect the amended Foreign Trade Regulations requiring the mandatory filing of export information through the AES or AES <i>Direct</i> .	
A	APPENDIX B – Transportation Filing Response Messages ADDED: Response Code 693 COUNTRY OF ULT DESTINATION OUTDATED	
С	X.12 824 GS03 change:	
	Current description says: M GS03 124 Application Receiver's Code Code identifying party receiving transmission; partners Same Filer ID as in element ISA08.	M 2 codes agreed to by tradi
	But should read: M GS03 124 Application Receiver's Code Code identifying party receiving transmission; partners Same Transmitter ID as in element ISA02.	M 2 codes agreed to by tradin
С	Part II – AES Record Formats, Section 3 Below the headings for Party Profile/Address/Phone (N02) and Party Profile City/State? Country (N03) Record, a statement has been added which reads, "If the Party ID and Party ID Type do not exist in AES or are not provided, this record is required to be sent."	
	Usage changed from Optional to Conditional. Notes added, "If the Party ID and Party ID type do not exist in AES or are not provided, this record is required to be sent."	
С	AESTIR The AESTIR is being updated to reflect the amended Foreign Trade Regulations requiring the mandatory filing of export information through the AES or AESDirect.	
С	APPENDIX F - License and License Exemption Type Codes and Reporting Guidelines	
	Revisions made to License codes C54, C55, C56. Allowed Export Info Codes should read All, except IW.	
С	APPENDIX F - License and License Exemption Type Codes and Reporting Guidelines	
	The ECCN has been changed from Allowed to <u>Mandatory</u> for the following License codes.	
	C38-TSR, C41-RPL, C42-GOV, C43-GFT, C44-TSU, C45-BAG, C46-AVS, C47-APR C48-KMI, C49-TAPS, and C50-ENC	
	of Chang e	Type of Chang e Revisions were made to the exemption legends to reflect the amended Foreign Trade Regulations requiring the mandatory filing of export information through the AES or AESDirect. A APPENDIX B - Transportation Filing Response Messages ADDED: Response Code 693 COUNTRY OF ULT DESTINATION OUTDATED C X.12 824 GS03 change: Current description says: M GS03 124 Application Receiver's Code Code identifying party receiving transmission; partners Same Filer ID as in element ISA08. But should read: M GS03 124 Application Receiver's Code Code identifying party receiving transmission; partners Same Transmitter ID as in element ISA02. C Part II – AES Record Formats, Section 3 Below the headings for Party Profile/Address/Phone (N02) and Party Profile City/State? Country (N03) Record, a statement has been added which reads, "If the Party ID and Party ID Type do not exist in AES or are not provided, this record is required to be sent." 309 CBP Manifest (Post Departure) Usage changed from Optional to Conditional. Notes added, "If the Party ID and Party ID type do not exist in AES or are not provided, this record is required to be sent." C AESTIR The AESTIR is being updated to reflect the amended Foreign Trade Regulations requiring the mandatory filing of export information through the AES or AESDirect. C APPENDIX F - License and License Exemption Type Codes and Reporting Guidelines Revisions made to License codes C54, C55, C56. Allowed Export Info Codes should read All, except IW. C APPENDIX F - License and License Exemption Type Codes and Reporting Guidelines The ECCN has been changed from Allowed to Mandatory for the following License codes. C38-TSR, C41-RPL, C42-GOV, C43-GFT, C44-TSU, C45-BAG, C46-AVS, C47-APR

	Type	SUMMARY OF CHANGES
	of Chang	
Version /Date	e	Location and Description
Version 1.0 April 14, 2008	A	APPENDIX A – Commodity Filing Response Messages
April 14, 2008		Added a statement to Response Code: 855 that the error would be generated only if the mode of transportation is vessel.
Version 1.0 March 20, 2008	A	APPENDIX A – Commodity Filing Response Messages
Water 20, 2000		Added a statement to the end of Response Code: 139, pertaining to Shipments destined to International Waters
		APPENDIX E – Commodity Filing Export Information Codes and Reporting Guidelines
		EIC Code 'IW' added
		APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
		Allowed Export Info Code 'IW' added to License Codes C30, C32 and C33.
Version 1.0 February 19, 2008	D	APPENDIX D – Export Port Code
,		DELETED: 2082 Tri-City User Fee Airport, Blountville, TN
	A	ADDED: 0481 L.G. Hanscom Field, Bedford, MA 2027 Tri-Cities Airport, Blountville, TN
Version 1.0	С	APPENDIX D – Export Port Code
December 17, 2007		1104 Pittsburg, PA Deleted Vessel as acceptable Method of Transportation
Version 1.0 October 10, 2007	С	APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines
		CHANGED: Expanded License Code C57 (VEU) to include India
Version 1.0	A	APPENDIX B – Transportation Filing Response Messages
October 4, 2007		ADDED
		ADDED: Response Code 689 F BOL/BOOKING VOYAGE NAME MISMATCH
		Response Code 690 F BOL/BOOKING VOYAGE NUMBER MISMATCH
		Response Code 691 F BOL/BOOKING PORT CODE MISMATCH Response Code 692 F BOL/BOOKING CARRIER SCAC MISMATCH
		•
Version 1.0	A	APPENDIX A – Commodity Filing Response Messages
September 4, 2007		ADDED: Response Code 671 VDC NPR NOT REO EOR CNTV CODE
		Response Code 671 KPC NBR NOT REQ FOR CNTY CODE
Version 1.0 August 8, 2007	A	APPENDIX D – Export Port Code
71ugust 0, 2007		ADDED:

	Type	SUMMARY OF CHANGES
	of	
	Chang	
Version /Date	e	Location and Description
		1888 Orlando Executive Airport, FL
		A
Version 1.0	D	APPENDIX D – Export Port Code
June 11, 2007		
		DELETED:
		2707 Port San Luis Harbor, CA
	A	APPENDIX F – License and License Exemption Type Codes and Reporting
		Guidelines
		Added License Code C57 – Authorization for Validated End-User for Certain
		Authorized Exports and Reexports of Commerce Control List items to the
		People's Republic of China.
Version 1.0	A	APPENDIX D – Export Port Code
April 26, 2007		ADDED
		ADDED:
		2785 March Inland Airport, Riverside, CA
		2786 Meadows Field Airport, Bakersfield, CA
		4581 Midamerican Airport, Mascoutah, IL
		CHANCED.
		CHANGED:
	C	0131 Portsmouth, NH Added AIR as acceptable Method of Transportation
	D	Part IV Section 5 Action Describes Of 1 To 7 Calendar Days
	ע	Part IV Section 5. Action – Downtime Of 1 To 7 Calendar Days
		Deleted second portion of paragraph under #6 State Department licenses and
		license exemptions.
Version 1.0	A	Part IV Section 5. Action – Downtime of 1 to 7 Calendar Days
March 26, 2007	11	Tare 14 Section 3. Action Downtime of 1 to 7 Calcidar Days
Water 20, 2007		Added Sections 5A7 (Licensed Shipments) and 5A8 (Items of the Commerce
		Control List).
Version 1.0	С	APPENDIX D – Export Port Code
March 22, 2007		in in the same of
		CHANGED:
		1703 Savannah, GA Added AIR as acceptable Method of Transportation
Version 1.0	С	APPENDIX D – Export Port Code
March 1, 2007		·
, ,		CHANGED:
		1803 Jacksonville, FL Added AIR as acceptable Method of
		Transportation
Version 1.0		APPENDIX V – HTS Numbers that Cannot be Reported in AES
February 5, 2007		Updated for February 2007 as follows:
	A	ADDED:
		0303.79.0064
		0303.79.0079
		1209.29.9179
		2401.20.8310
		2401.20.8320
		2826.19.9000
		7401.00.0000
		8525.50.7010
		8525.50.7050
		8525.60.1030
		8525.60.1050

	•	SUMMARY OF CHANGES
	Type	
	of	
	Chang	
Version /Date	e	Location and Description
		8527.99.2010
		8527.99.2020
		8527.99.2030
		8803.20.0060
		8803.30.0060
	D	DELETED:
		0303.79.4070
		0303.79.4097
		1209.29.9079
		4407.91.0022
		4407.91.0063
		4407.92.0000
		4407.99.0005
		4407.99.0011
		4407.99.0013
		4407.99.0019
		4407.99.0022
		4407.99.0042
		4407.99.0052
		4407.99.0059
		4407.99.0061
		4407.99.0063
		4407.99.0072
		4408.90.0105
		4408.90.0110
		4408.90.0115
		4408.90.0121
		4408.90.0131
		4408.90.0137
		4408.90.0145
		4408.90.0151
		4408.90.0156
		4408.90.0161
		4408.90.0166
		4408.90.0171
		4408.90.0176
		4408.90.0181
		4408.90.0187
		4408.90.0195
		8525.10.7025
		8525.10.7045
		8525.10.7065
		8525.10.7085
		8525.10.7090
		8525.10.9025
		8525.10.9045
		8525.10.9065
		8525.10.9085
		8525.10.9090
		8525.20.3010
		8525.20.3015
		8525.20.3025 8525.20.3025
		8525.20.3065 8525.20.3070
	j	8525.20.3070

	Type	SUMMARY OF CHANGES
	of	
	Chang	
Version /Date	e	Location and Description
		8525.20.3080
		8527.90.9530
		8527.90.9540
		8527.90.9550
		8527.90.9560
Version 1.0	A	APPENDIX D – Export Port Code
January 18, 2007		
		ADDED:
		2835 Sacramento International Airport
Version 1.0		APPENDIX C – ISO Country Codes
December 18, 2006	ъ	Sould and Mantanager (CS) (affective 1/1/07)
	D	Serbia and Montenegro (CS) (effective 1/1/07)
	A A	Serbia (RS) (effective 1/1/07)
	A	Montenegro (ME) (effective 1/1/07)
		APPENDIX D – Export Port Codes
		Name changed:
	C	2881 – Sacramento International User Fee Airport - Changed name from
		Sacramento International Airport
Version 1.0	D	APPENDIX F – License and License Exemption Type Codes and Reporting
October 24, 2006		Guidelines
		O WARREN TO THE TOTAL THE TOTAL TO THE TOTAL TOTAL TO THE
		C52 United States Persons in Libya (USPL) Part 740.19 (Removed)
Version 1.0	A	APPENDIX D – Export Port Codes
October 24, 2006		Updated for September 2006 as follows:
		ADDED:
		3433 Williston Airport, North Dakota
		3434 Minot Airport, North Dakota
		2791 DHL-HUB Los Angeles, CA
		CHANGED:
		0906 Syracuse NY, Added AIR as acceptable Method of
		Transportation
Version 1.0		APPENDIX V - HTS Numbers that Cannot Be Reported in AES
July 27, 2006	A	Updated for July 2006 as follows:
		ADDED:
		2401.10.6560
		2716.00.0000
		4407.91.0022
		4407.91.0063
		4407.92.0000
		4407.99.0005
		4407.99.0011
		4407.99.0013
		4407.99.0019
		4407.99.0022
		4407.99.0042
		4407.99.0052
		4407.99.0059
		4407.99.0061
		4407.99.0063 4407.99.0072
		4407.99.0072
		4408.90.0110

	Type	SUMMARY OF CHANGES
	of	
T7 1 /D 1	Chang	
Version /Date	е	Location and Description
		4408.90.0115 4408.90.0121
		4408.90.0121
		4408.90.0137
		4408.90.0145
		4408.90.0151
		4408.90.0156
		4408.90.0161
		4408.90.0166
		4408.90.0171
		4408.90.0176
		4408.90.0181
		4408.90.0187
		4408.90.0195
**		8803.90.9015
Version 1.0		APPENDIX A – Commodity Filing Response Messages
June 22, 2006	A	Response Code 669 LICENSE CODE CANNOT BE USED FOR ADD
	A	Response Code 670 LICENSE CODE CORRECTION MISMATCH
		APPENDIX D – Export Port Codes
	C	1119 Allentown, Pa added (Lehigh Valley Int'l Airport) to description
	Č	1181 Allentown-Bethlehem, Pa added (Easton Airport) to description
	D	1481 New River Valley Airport, VA changed to read:
	A	1412 New River Valley Airport, VA
	D	3402 Noyes, MN (Deactivated effective July 10, 2006)
Version 1.0		APPENDIX A – Commodity Filing Response Messages
June 6, 2006	A	Response Code 057 HEADER FILER ID MUST BE NUMERIC
	A A	Response Code 058 TRAILER FILER ID MUST BE NUMERIC
	A	Response Code 059 HEADER USPPI ID MUST BE E, D, S, T Response Code 060 TRAILER USPPI ID MUST BE E, D, S, T
	A	Response Code 423 USPPI IRS ID MUST BE NUMERIC
	A	Response Code 424 USPPI IRS ID TYPE MUST BE E OR S
	A	Response Code 425 FWRD AGT PARTY ID MUST BE NUMERIC
	A	Response Code 426 FWRD AGT ID TYPE MUST BE E, D OR S
		APPENDIX D – Export Port Codes
	D	4108 Ashtabula, OH (Deactivated)
	D	4109 Conneaut, OH (Deactivated)
	D	4111 Fairport Harbor, OH (Deactivated)
Version 1.0		Replaced by 4122 Ashtabula/Conneaut, OH APPENDIX D – Export Port Codes
May 22, 2006	C	3005 Bellingham, WA Added AIR as acceptable Method of Transportation
Witty 22, 2000	C	3203 Kahului, HI Added AIR as acceptable Method of Transportation
	Č	5101 Charlotte Amalie, VI Added AIR as acceptable Method of Transportation
		Transportation
		Name changed:
	C	3385 Eagle County Regional Airport - Changed name from Eagle County User
		Fee Airport
	_	The following port is deactivated:
	D	4170 Burlington Air Express, OH (Deactivated)

Version 1.0 May 12, 2006 May 12, 2006 May 12, 2006 C C C C C C C C C C C C C		Т	SUMMARY OF CHANGES
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Version 1.0			
Version 1.0 May 12, 2006 C C C C C C C C C C C C C C C C C C		Chang	
May 12, 2006 C 0101 Portland, ME Added AIR as acceptable Method of Transportation 1002 Albany, NY Added VESSEL as acceptable Method of Transportation 1101 Philadelphia, PA Added AIR as acceptable Method of Transportation 1103 Wilmigton, DE Added AIR as acceptable Method of Transportation 2010 Information Added AIR as acceptable Method of Transportation Added AIR as acceptable Method of Transportation 2604 Nogales, AZ Transportation 2604 Nogales, AZ Deletted FIXED as acceptable Method of Transportation 2604 Nogales, AZ Deletted FIXED as acceptable Method of Transportation 2604 Nogales, AZ Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 2704 Nogales, AZ Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HI Added AIR as acceptable Method of Transportation 3202 Hilo, HII Added AIR as acceptable Method of Transportation 3202 Hilo, HII Added AIR as acceptable Method of Transportation 3202 Hilo, HII Added AIR as acceptable Method of Transportation 3202 Hilo, HII Added AIR as acceptable Method of Transportation 3202 Hilo, HII Added AIR as acceptable Method of Transportation 3202 Hilo, HII Added AIR as acceptable Method of Transportation acceptable	Version /Date	e	Location and Description
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License Code C55 Short Supply -Crude Oil Samples (SS-SAMPLE) Part 754.2 License Code C56 Short Supply - Strategic Petroleum Reserves (SS-SPR) Part 754.2 Version 1.0 April 12, 2006 C Version 1.0 March 22, 2006 D APPENDIX D - Export Port Codes 2719 Morrow, CA corrected to read 2719 Morro Bay, CA APPENDIX D - Export Port Codes 2783 So. Cal. Logistics Airport, CA (Deactivated) APPENDIX A - Commodity Filing Response Messages Response Code 645 QUANTITY 2 NOT REQUIRED BY SCHED B/HTS Informational message returned when a value is reported in the second quantity field and the Schedule B/HTS classification does not require a second quantity. APPENDIX F - License and License Exemption Type Codes and Reporting Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS)		A	T'
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License Code C56 Short Supply – Strategic Petroleum Reserves (SS-SPR) Part 754.2 Version 1.0 Appendix D – Export Port Codes 2719 Morrow, CA corrected to read 2719 Morro Bay, CA Version 1.0 March 22, 2006 D Appendix D – Export Port Codes 2783 So. Cal. Logistics Airport, CA (Deactivated) Version 1.0 March 16, 2006 Appendix A – Commodity Filing Response Messages Response Code 645 QUANTITY 2 NOT REQUIRED BY SCHED B/HTS Informational message returned when a value is reported in the second quantity field and the Schedule B/HTS classification does not require a second quantity. Version 1.0 March 9,2006 APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS)		A	
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Version 1.0 Appendix D - Export Port Codes 2719 Morrow, CA corrected to read 2719 Morro Bay, CA Version 1.0 March 22, 2006 Version 1.0 March 16, 2006 Appendix D - Export Port Codes 2783 So. Cal. Logistics Airport, CA (Deactivated) Appendix A - Commodity Filing Response Messages Response Code 645 QUANTITY 2 NOT REQUIRED BY SCHED B/HTS Informational message returned when a value is reported in the second quantity field and the Schedule B/HTS classification does not require a second quantity. Appendix F - License and License Exemption Type Codes and Reporting Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS)		A	
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Version 1.0 March 22, 2006 Version 1.0 March 16, 2006 March 26, 2006 March 16, 2006 March 16, 2006 APPENDIX D – Export Port Codes 2783 So. Cal. Logistics Airport, CA (Deactivated) APPENDIX A – Commodity Filing Response Messages Response Code 645 QUANTITY 2 NOT REQUIRED BY SCHED B/HTS Informational message returned when a value is reported in the second quantity field and the Schedule B/HTS classification does not require a second quantity. Version 1.0 March 9,2006 APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS)		C	
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Version 1.0 March 9,2006 March 9,2006 APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS)			
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March 9,2006 Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS)			
March 9,2006 Guidelines Table A: Department of Commerce/Bureau of Industry and Security (BIS)	Version 1 0		APPENDIX F – License and License Exemption Type Codes and Reporting
Table A: Department of Commerce/Bureau of Industry and Security (BIS)			
	1,2000		
License Type Codes.			
			License Type Codes.

	Type	SUMMARY OF CHANGES
	of	
	Chang	
Version /Date	e	Location and Description
	C	License Code C33 – Description modified for clarification to include the
		following: Use C33 and report the ECCN if the commodity is controlled ONLY for Anti-
		Terrorism.
Version 1.0		APPENDIX D – Export Port Codes
March 3, 2006	D	4191 Airborne Express Courier, OH (Deactivated)
Version 1.0 February 1, 2006		APPENDIX A – Commodity Filing Response Messages Response Code 855 FOREIGN ORIGIN VERSUS IN-TRANSIT
1 Columny 1, 2000	A	Correction to X.12 Segment ID/Data Elements
		Reads: L1301 Corrected to Read: X109
Version 1.0		APPENDIX A – Commodity Filing Response Messages
January 24, 2006	A	Response Code 855 FOREIGN ORIGIN VERSUS IN-TRANSIT
Version 1.0		Verify this is not an in-transit shipment.
January 13, 2006		APPENDIX V –HTS Numbers that Cannot Be Reported in AES Updated for January 2006 as follows:
74114417 12, 2000		ADDED:
	A	0813.40.3000
		DELEMEN.
	D	DELETED: 2401.10.6560
	D	2716.00.0000
	D	8803.90.9015
Version 1.0	_	APPENDIX A – Commodity Filing Response Messages
January 11, 2006	D	Response Code 549 CNTY MUST BE CU FOR CUBAN AGR EXEMPTN has
		been removed and included in new Response Code 667
	A	Response Code 666 ECCN MUST BE FROM APPROVED LIST
	A	Response Code 667 COUNTRY NOT ALLOWED FOR LICENSE TYPE
	A	Response Code 668 EAR99 CANNOT BE REPORTED (Not currently active)
		APPENDIX F – License and License Exemption Type Codes and Reporting
		Guidelines
	A	C52 United States Persons in Libya (USPL) Part 740.19
		Permits the export and re-export to U.S. persons in Libya of certain items listed on
		the Commerce Control List controlled for anti-terrorism (AT) reasons only.
Version 1.0		APPENDIX B – Transportation Filing Response Messages
December 15, 2005	A	Fatal Error 133 INVALID EXPORT INFORMATION CODE
		ADDENDIN D. F. AD. AC. I
	A	APPENDIX D – Export Port Codes 1887 Leesburg Regional Airport, FL AIR only
	A	3325 Willow Creek, Havre, MT ROAD only
	A	5381 Sugar Land Regional Airport, TX AIR only
Version 1.0		APPENDIX D – Export Port Codes 5273 LIPS Minmi Airport El AIP only
December 5, 2005	A A	5273 UPS Miami Airport, FL AIR only 5298 IBC Courier Hub, Miami, FL AIR only
	A	Alk Olly
Version 1.0		APPENDIX A – Commodity Filing Response Messages
December 3, 2005		Undetermined Conditions
	A	

	Т	SUMMARY OF CHANGES
	Type of	
	Chang	
Version /Date	e	Location and Description
		Response Code 996 NON-STANDARD DATA FOUND: -nnnnnn / nn-
		Informational Response Code 996 will be generated and returned in an ES1 record
		with Response Code 997, Filing Contains Non-Standard Data, to identify where
Version 1.0		the first occurrence of non-standard data has been encountered. APPENDIX F – License and License Exemption Type Codes and Reporting
November 22, 2005		Guidelines
1101011001 22, 2003		Table A: Department of Commerce/Bureau of Industry and Security (BIS)
		License Type Codes.
	C	License Code C32 - Description changed to read:
		"No License Required (NLR) Part 758.
		Those items which are covered by entries on the Commerce Control List that have a reason for control other than or in addition to Anti-Terrorism (AT)."
Version 1.0		Part 1. AES GENERAL INFORMATION
November 15,		Section 3. Participating in AES
2005		Letter of Intent (LOI) For the Commodity Module
	D	Removed "Look-a-Like Remote to copy" (as provided by vendor)
	-	Letter of Intent (LOI) For the Vessel Transportation Module
	D	Removed "Look-a-Like Remote to copy" (as provided by vendor)
	C	Changed mailing address for Vessel Module Letter of Intent to read:
		Customs and Border Protection
		Client Representative Branch
		Attn: Beauregard, A-314
		6781 Boston Blvd
		Springfield, VA 22153
		or FAX to: 703-650-3538
		01 1 AX to. 703-030-3330
Version 1.0		APPENDIX F – License and License Exemption Type Codes and Reporting
October 26, 2005		Guidelines
		Table A: Department of Commerce/Bureau of Industry and Security (BIS)
		License Type Codes.
	C	License Code C22 Description changed to made
		License Code C32 - Description changed to read: No License Required (NLR), Part 758
		Used if NS/Column 2 is one of the reasons for control.
Version 1.0		APPENDIX F – License and License Exemption Type Codes and Reporting
October 21, 2005		Guidelines Think B. A. A. G. C. T. M. A. G. C. T. M. A. C.
		Table A: Department of Commerce/Bureau of Industry and Security (BIS)
		License Type Codes.
	C	License Code C32 - Description changed to read "No License Required (NLR),
		EAR Part 758, requiring ECCN
Version 1.0		Letter of Intent (LOI) Form – Vessel Transportation Data
October 18, 2005	C	Change of Address as follows:
		Phone 703-650-3500 Fax 703-650-3538
		1 41 /03-030-3330
		Address: Customs and Border Protection
		Client Rep Branch
		Attn: Beauregard, A-314
		6781 Boston Blvd

	T	SUMMARY OF CHANGES
	Type	
	of	
Vancian /Data	Chang	I anation and Decemention
Version /Date	e	Location and Description Springfield, VA 22153
		Springheid, VA 22133
		APPENDIX D – Export Port Codes
		The following port codes have been disabled and removed:
	D	3601 Duluth, MN
	D	3602 Ashland, WI
	D	3608 Superior, WI
	D	3903 Omaha, NE
	D	3907 Des Moines, IA
	D	4197 DHL, Cincinnati, OH
	D	5107 St. Croix, VI
		The following port codes have been added:
	A	3510 Duluth, MN – Superior, WI Acceptable MOT: Vessel and Air
	A	3511 Ashland, WI Vessel
	A	3512 Omaha, NE Air
	A	3513 Des Moines, IA Air
	C	5104 Christiansted, VI Added AIR as acceptable MOT
		The following previously disabled port codes have been removed from the Appendix D:
		3904 East Chicago, IL - disabled 05/04
		3906 Ohara Int'l Airport, IL - disabled 05/04
		4182 Rickenbacker Airport, OH - disabled 09/04
		1 /
Version 1.0		APPENDIX A – Commodity Filing Response Messages
October 1, 2005	C	Response Code 076 USPPI CHANGED POST DEPARTURE
		Change to allow the USPPI to be corrected post departure if necessary. However,
		a compliance alert will be generated when the USPPI information is changed post
Varsian 1.0		departure.
Version 1.0		Part II Section 1(a)
September 16, 2005		Record Layout Key Class
	A	Added text to clarify the acceptability of data values.
	A	Characters A through Z (uppercase ONLY)
		Character found on a standard keyboard are accepted:
		! @ # \$ % ^ & * () = + [{] } \ ; : ` ", < . > / ? ` ~ ¢
		Input Record Structure Map
		Transmission Notes
	A	Added a bullet as follows: Transmit ONLY displayable characters found on a
		standard keyboard. Do not transmit low-values, carriage return characters, or any
		other non-standard character.
		Commodity Line Item Reporting
	C	Change shipping weight for AIR shipments from 105,000 kilograms to 120,000
		kilograms.
		APPENDIX A – Commodity Filing Response Messages
		Undetermined Conditions Undetermined Conditions
	A	Response Code 997 FILING CONTAINS NON-STANDARD DATA
Version 1.0	**	Part II Section 3 – AES Transportation Data Transactions – Proprietary
August 15, 2005		Record Formats
		Effective 8/17/2005: Optional Carrier Assigned Number added for Carrier's use.
	1	There is not editing and the number is returned as received.

	Type	SUMMARY OF CHANGES
	of	
	Chang	
Version /Date	e	Location and Description
	A	Manifest (M01) Record Carrier Assigned Number Length/Class: 23 AN Positions: 58-80 Optional
	A	Part II Section 4 – AES Transportation Data Transactions – X.12 Formats 309 CBP Manifest (Booking) Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	A	309 CBP Manifest (Postdeparture) Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	A	309 CBP Manifest (Receipt of Booking) Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	A	353 CBP Event Advisory Details Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	A	355 CBP Manifest Acceptance/Rejection Carrier Assigned Number M1012 Positions: 1/23 AN Optional
	A	APPENDIX D – Export Port Codes 4194 DHL Express, Wilmington, OH Valid for AIR
Version 1.0 July 28, 2005	A	APPENDIX V –HTS Numbers that Cannot Be Reported in AES Updated for July 2005 as follows: ADDED: 2401.30.2750
	D	DELETED: 0207.14.0020 0207.27.0020 2401.30.2725 8411.99.1080 9027.80.4530
Version 1.0 July 12, 2005	С	Part II Section 3 – AES Transportation Data Transactions – Proprietary Record Formats Manifest (M01) Record Voyage Number Added note: The Voyage Number cannot be reused within one year. If the carrier attempts to reuse the number, AES will reject with fatal condition 687.
	C	Part II Section 4 – AES Transportation Data Transactions – X.12 Formats 309 CBP Manifest (Booking) M1006 Voyage Number Added note: The Voyage Number cannot be reused within one year. If the carrier attempts to reuse the number, AES will reject with fatal condition 687.
	C	APPENDIX D – Export Port Codes 3111 Fairbanks, AK Added AIR as acceptable MOT
	C C	3429 Crane Lake, MN Truck 3430 Lancaster, MN Truck (Correction to port code and state)

Type	
of	
Chang	
Version /Date e Location and Description	
Version 1.0 Part II Section 1 – AES Commodity Data Transaction Proprietary	Formats
June 12, 2005 Commodity Shipment Response – Usage Notes, Part B – Response	
Proprietary Batch Component	
A Added text to indicate the USPPI ID and USPPI ID Type for each and	every
'block' within a rejected batch would be returned to the filer in an ES1	
informational message.	
The Shipment Reference Number will be returned in an ES1 informati	
message for each and every shipment within a rejected batch or block.	
Commodity Shipment Response – Usage Notes, Part C – Response	to a
A Proprietary Block Component	
Added text to indicate the Shipment Reference Number will be returned	
informational message for each and every shipment within a rejected by	olock.
A APPENDIX A – Commodity Filing Response Messages	
A Response Code 055 USPPI ID/TYPE: - USPPI ID and ID TYPE -	7
Response Code 056 SHIPMENT REF NBR: - Shipment Reference Nu	mber -
Version 1.0 APPENDIX A – Commodity Filing Response Messages APPENDIX A – Commodity Filing Response Messages	ECENID
May 16, 2005 A Response Code 421 ULT CONS DATA ON FILE INCOMPLETE; RI	
A Response Code 422 INT CONS DATA ON FILE INCOMPLETE; RI Version 1.0 APPENDIX D – Export Port Codes	ESEND
<u> </u>	
April 1,2005 A Port Code 5297 Federal Express, Miami, FL Valid MOT: AIR Version 1.0 The Data Entry Center (DEC) program was eliminated effective A	nuil 1
March 31, 2005 2005.	ърги 1,
Part 1. AES GENERAL INFORMATION	
Section 1. The Automated Export System (AES)	
C Background	
Commodity data Removed reference to 'data entry center'	
C Section 2: Electronic Messaging in AES	
Commodity Data Removed reference to 'data entry center'	
C Section 5: Using AES	
How AES Works Removed reference to 'data entry center'	
Kimberley Process Certificate Number required for shipments of	rough
diamonds effective April 1, 2005.	
Part 1. AES GENERAL INFORMATION	
Section 1. The Automated Export System (AES)	
A Background	· -
In early 2005, Added paragraph about rough diamond expor	ıs
A Commodity Data Filing Options Added centence chaut Kimberley Process Commodity Data Filing Options	tificata
A Option 2 Added sentence about Kimberley Process Cer Number	uncate
A Option 4 Added restriction for filing rough diamonds	
Section 3. Participating in AES	
	ed rough
C diamonds	
to the list of shipments that must be filed through AES.	
Section 6. Commodity Data Elements	
Export License Number/CFR Citation/Authorization Symbol/KCP#	. Added
the Kimberley Process Certificate Number (KCP#) to the name of this	
Part II Section 1 – AES Commodity Data Transaction Proprietary	Formats
Part (a) Commodity Shipment Filing and Response	
C Input Batch Control Header (Input A Record):	
Data Entry Center Indicator	
N=Filer is not claiming DEC privileges	

SUMMARY OF CHANGES			
	Type		
	of		
T7 1 /D /	Chang	T (1 1D 1.0	
Version /Date	e	Location and Description	
	С	Y=Filer is claiming DEC privileges for shipment filed before April 1, 2005. 3. Census eliminated the Data Entry Center Program effective April 1, 2005. However, an authorized DEC filer can make corrections to shipments filed before the April 1, 2005, cut-off date and still receive DEC-related privileges. Input Line Item Commodity Detail (Input CL2Record): Renamed data element to include the Kimberley Process Certificate Number	
		Export License Number/CFR Citation/Authorization Symbol/KCP#	
	C		
	C	Commodity Shipment Filing – Usage Notes a) Grouping Commodity Shipments by USPPI Removed the reference to 'or DEC' d) Data Entry Center (DEC)	
	C	Revised to reflect elimination of DEC filing privileges April 1,	
		2005.	
		e) Establishing a Commodity Shipment Mandatory Data Elements in an ADD Transaction	
		Renamed data elements to include the KCP# as follows:	
		Export License Number/CFR Citation/Authorization Symbol/KCP#	
	C	h) Party Reporting	
	C	Forwarding Agent	
	C	Reporting Requirements:	
		A forwarding agent:	
		Eliminated references to DEC filing	
		Removed table (b)	
	C	Renamed table (c) to read (b)	
		1) Correcting Commodity Shipment Data	
		Mandatory Data Elements in an Replace Transaction	
		Renamed data elements to include the KCP# as follows:	
		Export License Number/CFR Citation/Authorization Symbol/KCP#	
	C	Zipote Zivonov i (winevi) et it entanom i i winevi zi jinevi i i i	
		Output Batch Control Header (Output A Record): Data Entry Center Indicator N=Filer is not claiming DEC privileges	
		Y=Filer is claiming DEC privileges for shipment filed before April 1, 2005.	
		3. Census eliminated the Data Entry Center Program effective April 1, 2005. However, an authorized DEC filer can make corrections to shipments filed before the April 1, 2005, cut-off date and still receive DEC-related privileges.	
	C	Part II Section 1 – AES Commodity Data Transaction Proprietary Formats Part (b) Commodity Shipment Warning Reminder Line Item Commodity Detail (Output CL2 Record) Renamed data element to include the Kimberley Process Certificate Number as	
		follows: Export License Number/CFR Citation/Authorization Symbol/KPC#	
	C	Part II Section 2 – AES Commodity Data Transaction – X.12 Formats 601 Shipper's Export Declaration (Commodity Shipment Filing) BA1 Export Shipment Identifying Information BA107	
		Notes: Data Entry Center Indicator N=Filer is not claiming DEC privileges Y=Filer is claiming DEC privileges for shipment filed before April 1, 2005. Census eliminated the Data Entry Center Program effective April 1, 2005.	
	С	However, an authorized DEC filer can make corrections to shipments filed before the April 1, 2005, cut-off date and still receive DEC-related privileges. X1 Export License	

	Т	SUMMARY OF CHANGES
	Type	
	of	
	Chang	
Version /Date	e	Location and Description
		X102 Export License Number
	A	Notes: Renamed to include the Kimberley Process Certificate Number (KPC#)
	D	
		APPENDIX A – Commodity Filing Response Messages
		Effective April 1, 2005, the Date Entry Center (DEC) program was
		eliminated.
	A	Response Code 708 DEC PROGRAM ELIMINATED
	A	Response Code 067 DEC CANNOT FILE OPT 4 SHIPMENTS
	A	Tresponde court of Page criminal right and right in the right.
	A	Kimberley Process Certificate Number (KPC#) Must be reported for
	A	shipments of rough diamonds (7102.10, 7102.21, 7102.31)
	A	Response Code 659 ECCN MUST BE 'EAR99' OR BLANK
	A	Response Code 660 'T12' REQUIRED FOR ROUGH DIAMONDS
		Response Code 661 SCHED B/HTS NBR NOT ALLOWED FOR LIC CODE
		Response Code 662 KPC NBR MUST BE AT LEAST 4 NUMERICS
	A	Response Code 663 KPC NUMBER CONTAINS NON-NUMERICS
	A	Response Code 664 KPC NUMBER CONTAINS LEADING SPACES
	A	Response Code 665 KPC NUMBER MISSING
	A	
	A	New validations on postal codes
	A	Response Code 413 USPPI POSTAL CODE NOT IN EFFECT
	A	Response Code 414 USPPI POSTAL CODE NOT IN EFFECT
	A	Response Code 415 FWRD AGT POSTAL CODE NOT IN EFFECT
		Response Code 416 FWRD AGT POSTAL CODE NOT IN EFFECT
		Response Code 417 ULT CONS POSTAL CODE NOT IN EFFECT
		Response Code 418 ULT CONS POSTAL CODE NOT IN EFFECT
		Response Code 419 INT CONS POSTAL CODE NOT IN EFFECT
		Response Code 420 INT CONS POSTAL CODE NOT IN EFFECT
Version 1.0		
January 25, 2005	D	APPENDIX A – Commodity Filing Response Messages Response Code 645 QUANTITY 2 NOT ALLOWED BY SCHED B/HTS
January 25, 2005	D	Fatal error removed for this condition
		ratal error removed for this condition
		ADDENIDIN D. Francis Dent Co. Lan
	C	APPENDIX D – Export Port Codes
	C	Port Code 2015 Vicksburg, MS ADDED: Vessel as acceptable MOT
	C	Port Code 2103 Orange, TX ADDED: Air as acceptable MOT
	C	Port Code 3206 Kailua-Kona, HI ADDED: Vessel as acceptable MOT and
	ļ	changed the name from Keahola Airport, HI to read Kailua-Kona, HI
Version 1.0		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
January 10, 2005		Input DDTC Detail (ODT Record) DDTC Registration Number
	C	Changed the Length/Class from 6 AN to 6 X to accommodate any special
		characters used in DDTC Registration Number
	A	APPENDIX K – Unit of Measure Codes
		Added 'PR ' as an acceptable abbreviation for PAIRS
Version 1.0		APPENDIX V –HTS Numbers that Cannot Be Reported in AES
January 7, 2005		Updated for 2005 as follows:
, .,	A	ADD:
		1005.90.4060 7404.00.6020
		7404.00.3020 7404.00.6045
		7404.00.3045 7404.00.6055
		7404.00.3055 7404.00.6065 7404.00.3065 7404.00.6000
		7404.00.3065 7404.00.6090
	_	7404.00.3090
	D	DELETE:

	Type	SUMMARY OF CHANGES
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\$7	Chang	Tourston and Description
Version /Date	e	Location and Description 2710.11.1519
		8108.20.0091
Version 1.0		APPENDIX B – Transportation Filing Response Messages
November 17, 2004	A	Response Code 688 Fatal Error CONTAINER NUMBER NOT ALLOWED
		If the shipment is identified as non-containerized, then a container number is not
		allowed to be reported.
Version 1.0 October 20, 2004	C	APPENDIX A – Commodity Filing Response Messages Response Code 152 ENTRY NBR MUST BE AT LEAST 9 CHARS
October 20, 2004		Change from 11 characters to allow 9 character entry number.
Version 1.0		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
October 7, 2004		Commodity Shipment Filing – Usage Notes
	C	h) Party Reporting – Intermediate Consignee
		Input N03 record: State Code
		Change to allow (but not require) the Mexican State Code to be reported if the Intermediate Consignee = Country Code MX (Mexico).
		intermediate Consignee – Country Code MA (Mexico).
		APPENDIX A – Commodity Filing Response Messages
	A	Response Code 412 INT CONS STATE MUST BE A MX STATE CD
Version 1.0		APPENDIX A – Commodity Filing Response Messages
October 4, 2004	A	Response Code 566 CNTY MUST BE KIMBERLEY PROCESS PRTCPNT
Version 1.0	A	APPENDIX A – Commodity Filing Response Messages FAILURE TO RESPOND TO FATAL CONDITION MESSAGES OR
September 7, 2004	A	OTHERWISE TRANSMIT CORRECTIONS TO AES CONSTITUTES A
		VIOLATION OF THE PROVISIONS OF THE FOREIGN TRADE
		STATISTICAL REGULATIONS.
	A	
	A	Response Code 653 DDTC LICENSE DISAPPROVED: <license code=""></license>
	A	Response Code 654 DDTC LICENSE SUSPENDED: <license code=""> Response Code 655 DDTC LICENSE RETURNED: <license code=""></license></license>
	A A	Response Code 656 DDTC LICENSE REVOKED: <license code=""></license>
	A	Response Code 657 DDTC LICENSE WITHDRAWN: <license code=""></license>
		Response Code 658 DDTC LICENSE EXPIRED: <license code=""></license>
		Text changed to highlight the importance of resolving fatal conditions and
	C C	retransmitting corrected batches, blocks and shipments.
	\mathbf{c}	Response Code 960 BATCH REJECTED; RESOLVE AND RETRANSMIT
		Response Code 965 BLOCK REJECTED; RESOLVE AND RETRANSMIT
		Response Code 970 SHIPMENT REJECTED; RESOLVE & RETRANSMIT
Version 1.0		APPENDIX B – Transportation Filing Response Messages
September 1, 2004	C	Response Code 625 FATAL ERR – INVALID BILL OF LADING COUNT Correcting keying error (Read 626, should read 625)
		Contecting reyning error (read 020, should read 023)
		APPENDIX D – Export Port Codes
	A	Port Code 2304 Laredo, TX ADDED: Fixed Transport as acceptable mode of
	A	transport.
	D	Port Code 3385 Eagle County User Fee Airport Valid MOT = AIR Port Code 4182 Disabled offsetive 9/1/2004
		Port Code 4182 Disabled effective 9/1/2004
	D	APPENDIX V – HTS Numbers that Cannot Be Reported in AES
		DELETED: 0304.10.4062
		1005.90.4000
		1209.29.0079
	_	1701.99.1000
	A	1701.99.5000

SUMMARY OF CHANGES		
	Type	
	of	
	Chang	
Version /Date	e	Location and Description
		ADDED: 1005.90.4040
		1209.29.9079
		1602.31.0040
		1701.99.1010
		1701.99.1090
		1701.99.5010
		1701.99.5090
		3901.10.1000
Version 1.0		APPENDIX F – License and License Exemption Type Codes and Reporting
August 2, 2004		Guidelines
	C	Added MS and GS as Export Information Codes allowed for License Code S05
Version 1.0		APPENDIX A – Commodity Filing Response Messages
July 30, 2004	D	Response Code 593 HH GDS NOT PERMITD/DEC FILER
		Requirement change to allow DEC filer to file household goods shipments.
	A	Response Code 651 VEHICLE INFO REQ FOR EI CD
		If the Export Information Code is declared as 'HV' then the input EV1 record is
	ļ	required.
Version 1.0		Part II Section 3 – AES Transportation Data Transactions – Proprietary
July 8, 2004		Record Formats
	~	Party Profile City/State/Country (N03) Record
	C	Description for State, Country and Zip Code modified to reflect the following
		requirements:
		State code is required for Shipper and Carrier Customer when Country is US, MX
		or CA.
		State is not required for Consignee and Ultimate Consignee.
		State must be a valid STATE for Shipper, Carrier Customer, Consignee, Ultimate
		Consignee and Intermediate Consignee when Country is US, MX or CA.
		Valid ISO Country code is required for Shipper, Carrier Customer, Consignee,
		Ultimate Consignee and Intermediate Consignee. Postal Code is required for Shipper or Carrier Customer when Country is US.
		Postal Code is not required for Consignee and Ultimate Consignee. Part II Section 4 – AES Transportation Data Transaction – X.12 Formats
		•
	C	309 U.S. Customs Manifest (Booking) 309 U.S. Customs Manifest (Manifest)
		N4 Geographic Location
		N402, N403 and N404 descriptions for State, Country and Zip Code modified to
		reflect the following requirements:
		State code is required for Shipper and Carrier Customer when Country is US, MX
		or CA.
		State is not required for Consignee and Ultimate Consignee.
		State must be a valid STATE for Shipper, Carrier Customer, Consignee, Ultimate
		Consignee and Intermediate Consignee when Country is US, MX or CA.
		Valid ISO Country code is required for Shipper, Carrier Customer, Consignee,
		Ultimate Consignee and Intermediate Consignee.
		Postal Code is required for Shipper or Carrier Customer when Country is US.
		Postal Code is not required for Consignee and Ultimate Consignee.
	D	
		APPENDIX A – Commodity Filing Response Messages
		Removed Response Code 179 COUNTRY NOT ALLOWED; OPT 4
Version 1.0		Part II Section 2 – AES Commodity Data Transaction – X.12 Formats
June 28, 2004		824 Application Advice (Commodity Shipment Filing Response)
	C	ISA12 corrected typo 'publication'
	C	ISA16 Changed colon to tilde

	True	SUMMARY OF CHANGES
	Type	
	of	
	Chang	
Version /Date	e	Location and Description
	C	APPENDIX B – Transportation Filing Response Messages
		Response Code 655 BOOKING NOT SENT PRIOR TO MANIF
		Changed from Warning to Fatal. The booking record must be sent prior to the Bill
		of lading.
		APPENDIX F – License and License Exemption Type Codes and Reporting
	C	Guidelines
		Change to allow all Modes of Transport, including fixed pipeline shipments, under
		License Codes C30 and C31.
		Electise Codes C50 and C51.
		Appendix II UTS/Schodule D. Classifications Dequiping Used Vehicle
		Appendix U – HTS/Schedule B Classifications Requiring Used Vehicle
	C	Reporting (Input EV1 Record)
		Added comments to indicate that the nine classifications marked in bold require
		the EV1 record. The other classifications listed will allow an EV1 record to be
		reported. If the classification is not one of those on this list, an EV1 record is not
		allowed to be reported.
Version 1.0		Part II Section 2 – AES Commodity Data Transaction – X.12 Formats
June 10, 2004		601 Shipper's Export Declaration (Commodity Shipment Filing)
		Introduction:
		Notes:
	A	"Also note that each BA1 loop must be preceded by an ST segment and followed
		by an SE segment."
		BA1 Export Shipment Identifying Information
		Notes:
	A	"Each BA1 loop must be preceded by an ST segment and followed by an SE
		segment."
		L13 Commodity Details
	A	L1302 Commodity Code
		Added a reference to "Appendix U – HTS/Schedule B Classifications Requiring
		Used Vehicle Reporting"
Version 1.0		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
June 2, 2004		Commodity Shipment Filing – Usage Notes
5 GHO 2, 2007		f) Reporting Transportation Related Data Elements
	A	Added table for Passenger, Hand-Carried shipments
	A	g) Shipments Between the US and Puerto Rico
	A	Added tables for Passenger, Hand-Carried shipments from Puerto Rico to the US
	A	
		and for Passenger, Hand-Carried shipments from the US to Puerto Rico
		ADDENDIY A Commodity Filing Dognange Magazage
		APPENDIX A – Commodity Filing Response Messages Response Code 127 PORT OF EXPORT NOT ALLOWED FOR MOT
	C	Response Code 137 PORT OF EXPORT NOT ALLOWED FOR MOT
		Added 'Passenger, Hand-Carried' as an acceptable mode of transport for
		shipments from Puerto Rico to the US.
	A	Response Code 179 COUNTRY NOT ALLOWED; OPT 4
		Added to prohibit Option 4 USPPIs from reporting shipments to a proscribed
		country.
Version 1.0		APPENDIX D – Export Port Codes
May 26, 2004	C	0401 Boston, MA ADDED: AIR as an acceptable mode
Version 1.0	A	Appendix U – HTS/Schedule B Classifications Requiring Used Vehicle
May 20, 2004		Reporting (Input EV1 Record)
Ţ		Added references to this new Appendix U in the AES General Information and in
		the AES Record Formats Section 1 (a) Commodity Shipment Filing and Response
Version 1.0		APPENDIX D – Export Port Codes
Verbion 1.0	1	TILLE DE DAPOIT I OIL COUCO

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	Chang	
Version /Date	_	Location and Description
May 6, 2004	e C	0102 Bangor, ME ADDED: AIR as an acceptable mode
Version 1.0	C	Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
April 30, 2004		Input SC3 Record/Equipment Number
April 30, 2004	A	Added 'no imbedded spaces' to the description
	A	Input SC3 Record/Seal Number
	A	Added 'no imbedded spaces' to the description
	A	Added no infocuace spaces to the description
		Part II Section 2 – AES Commodity Data Transactions – X.12 Formats
	A	Added a reference to refer to "Appendix F – License and License Exemption Type
		Codes and Reporting Guidelines"
		7 · · · · · · · · · · · · · · · · · · ·
		APPENDIX A – Commodity Filing Response Messages
		Response Code 263 USPPI IRS NBR NOT ALLOWED
		This message will occur in X.12, therefore the X.12 Segment ID/Data Elements
		have been restated as follows:
	C	X.12 Segment ID/Data Elements: BA 109; when N103 = 24, 34 or ZZ, and N101
		=EX
Version 1.0		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
April 20, 2004		Input N01 Record/Party Name
	C	Changed the Length/Class from 30AN to 30X to accommodate any special
		characters used in the party name.
		Input N02 Record/Address Line 1 and Address Line 2
	C	Changed the Length/Class from 32AN to 32X to accommodate any special
		characters used in the address lines.
	~	Input CL1 Record/Commodity Description
	C	Changed the Length/Class from 45AN to 45X to accommodate any special
		characters used in the description.
		ADDENDIN D. Francis Death Color
	D.	APPENDIX D - Export Port Codes 2004 Fort Chicago Di Vescel (Disabled Mars 1, 2004)
	D	3904 East Chicago, IN Vessel (Disabled May 1, 2004) 3906 O'Hara IntL Airport Air (Disabled May 1, 2004)
Version 1.0	D C	3906 O'Hara IntL Airport Air (Disabled May 1, 2004) Effective Date changed from April 2004 to June 2004
April 7, 2004	C	Effective Date changed from April 2004 to June 2004
April 7, 2004		Part II Section 1 – AES Commodity Data Transaction Proprietary Formats
	A	Input CL2 Record/ Export License/CFR Citation/Authorization Symbol
	13	Added a reference to refer to "Appendix F – License and License Exemption Type
		Codes and Reporting Guidelines"
Version 1.0		APPENDIX B – Transportation Filing Response Messages
March 25,2004		The following messages were inadvertently missing and are being reinstated:
,	A	Response Code 009 FATAL ERR – NOT AUTHORIZED TO TRANSMIT
	A	Response Code 684 FATAL ERR - UNMATCHED BOOKING IN A01 AND
	A	B02
	A	Response Code 685 FATAL ERR – ESTIMATED DEPARTURE DATE
	A	REQUIRED
		Response Code 686 FATAL ERR – BOOKING CHANGE/UPDATE NOT
		ALLOWED
		Response Code 687 FATAL ERR – VOYAGE NUMBER MAY NOT BE
		REUSED FOR ONE YEAR
Version 1.0		APPENDIX A – Commodity Filing Response Messages
March 17, 2004		Response Code 139 PORT OF UNLADING CANNOT BE USED
	С	Correction in the Resolution to include Port of Unlading code '92002'
Version 1.0		APPENDIX A – Commodity Filing Response Messages
February 27, 2004		Correcting wording only for the following:
		Response Code 032 HEADER USPPI ID INELIGIBLE
	C	Resolution: Themust not contain

	Type	SUMMARY OF CHANGES
	of	
Varaion /Data	Chang	I agatian and Decementian
Version /Date	e	Location and Description
		Response Code 175 FLY-AWAY MUST BE MOT = 40
	C	Reason: The Carrier IDis other than non-containerized Air (MOT 40)
		Reason Text changed for the following:
	C	Response Code 034 HEADER USPPI EIN LOCATION INVALID
	C	Response Code 035 TRAILER USPPI EIN LOCATION INVALID
	C	Response Code 036 HEADER USPPI LOCATN NOT ALLWED FOR TYPE
	C	Response Code 037 TRAILER USPPI LOCATN NOT ALLWED FOR TYPE
	C	Response Code 269 FWRD AGT EIN LOCATION INVALID
	C	Response Code 270 FWRD AGT LOCATION NOT ALLOWED FOR TYPE
	C	Response Code 307 ULT CONS EIN LOCATION INVALID
	C	Response Code 310 ULT CONS LOCATION NOT ALLOWED FOR TYPE
	Č	Response Code 367 INT CONS EIN LOCATION INVALID
	C	Response Code 370 INT CONS LOCATION NOT ALLOWED FOR TYPE
		Response code 370 hvi colvis Location not allowed tok title
		V 10 Comment ID/Data Elements described to the Call Control of the
		X.12 Segment ID/Data Elements changed for the following because these
	~	messages will not occur in X.12:
	C	Response Code 009 FILER ID TYPE/PROFILE CONFLICT
	C	Response Code 229 SOLD EN ROUTE NOT APPLICABLE FOR PARTY
	C	Response Code 230 IRS ID TYPE NOT APPLICABLE FOR PARTY
	C	Response Code 231 IRS ID NBR NOT APPLICABLE FOR PARTY
	C	Response Code232 USPPI MUST MATCH BLOCK USPPI
	C	Response Code 258 USPPI IRS ID TYPE MUST BE E OR S
	C	Response Code 259 USPPI IRS ID TYPE NOT ALLOWED
	C	Response Code 261 USPPI IRS NBR MUST BE NUMERIC
	C	Response Code 263 USPPI IRS NBR NOT ALLOWED
	C	Response Code 361 ULT CONS CNTCT LAST NAME NOT ALLOWED
	C	Response Code 405 INT CONS CNTCT LAST NAME NOT ALLOWED
	C	Response Code 505 VALUE OF GOODS CONTAINS NON-NUMERICS
	Č	Response Code 506 SHPING WGT CONTAINS NON-NUMERICS
	Č	Response Code 507 QUANTITY (1) CONTAINS NON-NUMERICS
	Č	Response Code 508 QUANTITY (2) CONTAINS NON-NUMERICS
		Response code 300 QUARTITT (2) CONTAINS NOW NOWILKIES
Varsion 1.0		Part 1 AES General Information
Version 1.0 February 24, 2004		Changed 'working days' to read 'calendar days'
1 coluary 24, 2004	C	
	С	Page 9 Option 4 allowsno later than ten (10) calendar days from the date of
		exportation.
	С	Page 17 Warning correction to commodity data within four calendar days.
X7 : 10		ADDINIDING A COLUMN DESCRIPTION OF THE PROPERTY OF THE PROPERT
Version 1.0		APPENDIX A – Commodity Filing Response Messages
February 18, 2004		Response Code 177 ORIG TO BE LODGD W/CBP: <license number="" type=""></license>
	C	Reason: The DDTC S05 License has been accepted and the filer is instructed to
		present the original license to CBP prior to export.
Version 1.0		APPENDIX A – Commodity Filing Response Messages
February 6, 2004		Response Code 074 EXTRANEOUS DATA CANNOT FOLLOW FOR DELETE
	C	X.12 Segment ID/Data Elements: Will not occur in X.12
		Response Code 093 EXTRANEOUS DATA CANNOT FOLLOW FOR CANCEL
	C	X.12 Segment ID/Data Elements: Will not occur in X.12
		APPENDIX D – Export Port Codes
	A	5204 West Palm Beach, FL AIR

Version 1.0	SUMMARY OF CHANGES		
Version 1.0		Type	
Version 1.0			
January 7, 2004 A 1005,90,4000 A 2710,11,1519 B108,20,0091 Version 1.0 December 12, 2003 D Table C: El Codes No Longer Accepted by AES ElC 'DD' removed A Table B: Applicable El Codes for Optional SED Reporting ElC 'DD' added APPENDIX F – License and License Exemption Type Codes and Reporting Guidelines Ad 'DD' to the Allowed Export Info Codes under License Codes C33,C35, C36, C37, C40, C42, and OPA Proprietary Record Formats Commodity Shipment Filing and Response Clarified the size of the transmission: Under the Input Record Structure Map Transmission Notes: A single transmission cannot exceed 32,000 80-character images (i.e., 2,560,000 bytes). A Clarified the reporting requirements when reporting party address in Puerto Rico: in) Party Reporting When reporting a party address in Puerto Rico, report 'PR' as the State Code and 'US' as the Country Code. USPPI party reporting tables, Intermediate Consignee reporting party tables. A PPENDIX A – Commodity Filing Response Messages Response Code 255 USPPI POSTAL CODE UNKNOWN Response Code 339 UIT CONS POSTAL CODE UNKNOWN Response Code 399 INT CONS POSTAL CODE UNKNOWN Response Code 408 FWRD AGT PARTY ID REPORTED W/O TYPE Reason and Resolution changed.	Version /Date	_	Location and Description
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Response Code 408 FWRD AGT PARTY ID REPORTED W/O TYPE Reason and Resolution changed.		C	
C Reason and Resolution changed.			Response Code 377 In Construction Code Office Code Off
D C L. 520. WALLIE OF CDG MIGT DE CT TEDO		C	Reason and Resolution changed.
			Response Code 528 VALUE OF GDS MUST BE GT ZERO
A Narrative Text, Reason and Resolution changed.		A	
A		1 .	

		SUMMARY OF CHANGES
	Type	
	of	
TT 1 (T)	Chang	
Version /Date	e	Location and Description
	A	Response Code 647 LINE NBR CANNOT BE ZERO
	A	Response Code 648 MOT NOT ALLOWED FOR HH GDS
	A	Response Code 649 QUANTITY 1 CANNOT EXCEED SHPING WGT
		Response Code 650 QUANTITY 2 CANNOT EXCEED SHPING WGT
		Response Code 998 UNIDENTIFIED CONDITION: <condition code=""></condition>
Version 1.0/ October		Proprietary Record Formats
1, 2003		Commodity Shipment Filing and Response
1, 2000		Commounty companies a substitution of the subs
	C	SC2 Record: Moved Footnote 3 from the Entry Number to the Foreign Trade
		Zone Identifier.
		APPENDIX A – Commodity Filing Response Messages
		Demand Code, 179 DOCC TO DE DDVD TO CDD. Alicense
	A	Response Code: 178 DOCS TO BE PRVD TO CBP: <license number="" type=""> Severity: Informational</license>
		Type/Number > Severity: Informational
	C	Response Code: 341 ULT CONS MUST BE REPORTED WITHIN 4 DAYS
		Severity changed from FATAL to WARNING
		, ,
	C	Response Code: 063 RQSTD SHPMNT ACTN MUST BE A, C, R, OR X
		Note: Text change only to avoid truncating message.
		Descriptions changed in the following messages to include condition even when
		the actual transaction is rejected because of some other fatality.
	C	702 LINE ACTIVITY ADD POST DEPARTURE
	C	702 LINE ACTIVITY DELETE POST DEPARTURE
	C	704 LICENSE TYPE CHANGE POST DEPARTURE
	$\ddot{\mathbf{c}}$	705 LICENSE NUMBER CHANGE POST DEPARTURE
	Č	706 LICENSABLE VALUE CHANGE POST DEPARTURE
	C	707 USED VEHICLE(S) CHANGE POST DEPARTURE
		` '
	D	Response Code: 079 DUPLICATE LINE NBR ENCOUNTERED
	C	Response Code: 150 INBOND CODE UNKNOWN
		Note: Text change only
		APPENDIX C – ISO Country Codes
	D	Yugoslavia (YU)
	A	Serbia and Montenegro (CS)
		ADDENIDIV D. Ermout Dout Code
		APPENDIX D – Export Port Codes
	A	1002 Albany, NY AIR
	A	4671 Federal Express, Newark, NJ AIR
	A	4770 Federal Express, Jamaica, NY AIR
	A	5107 St. Croix, St. Thomas, VI VESSEL and AIR
	D	1068 Newark FEDEX ECCF, Newark, NJ
	D	1079 Federal Express, Jamaica, NY

SUMMARY OF CHANGES

	Type	SUMMART OF CHANGES
	of	
	Chang	
Version /Date	e	Location and Description
(0151011 / 2 400		2000000 main 2 00000
	C	2809 San Francisco, CA VESSEL only
		,
		Modified name only:
	C	1195 UPS, Philadelphia, PA
	C	2095 Federal Express, Memphis, TN
	C	2770 DHL, Los Angeles, CA
	C	2795 UPS, Ontario, CA
	C	2870 DHL, San Francisco, CA
	C	2895 Federal Express, Oakland, CA
	C	2991 Federal Express, Portland, OR
	C	3071 UPS, Seattle, WA
	C	3072 DHL, Seattle, WA
	C	3095 UPS, Seattle, WA
	C	3195 Federal Express, Anchorage, AK
	C	3196 UPS, Anchorage, AK
	C	3295 UPS, Honolulu Airport, HI
	C	4196 UPS, Louisville, KY
	C	4197 DHL, Cincinnati, OH
	C	4198 Federal Express, Indianapolis, IN
	C	4670 UPS, Newark, NJ
	C	4772 DHL, Jamaica, NY
	C	5271 DHL, Miami, FL
	C	5295 UPS, Miami Intl Airport, FL
		ADDENIDIVE License and License Evernation Type Codes
		APPENDIX F – License and License Exemption Type Codes
		Correcting a typing error only:
	C	License Code: C47
		Export License Nbr/CFR Citation/Authorization Symbol: Report 'APR'
		Export Dicense 1.07 of R Chanon Tunion Landin Symbol. Report Al R
		1

Change Types: $\mathbf{A} = \text{Add}$, $\mathbf{C} = \text{Change}$, $\mathbf{D} = \text{Deletion}$